The material included in this *Handbook for Graduate Students* represents the policies, regulations, requirements, and suggestions of the Department of Chemistry with respect to its graduate program and to all graduate students in that program. This *Handbook* and its Appendices contain a wealth of information on successfully completing a degree program as well as life in general in this Department. It should be one of the first sources you consult for information. Considerable time and trouble have been expended in its preparation and periodic revision.

This booklet is supplementary to the *Graduate School Bulletin*, the *University of Kentucky Bulletin*, which is published annually, and the University's handbook of *Student Rights and Responsibilities*. All students should procure and keep at hand for ready reference all three of these. The current *Graduate School Bulletin* contains most of the information needed concerning specific requirements for courses, degrees, writing of dissertations, scheduling of various exams, and so forth. The *Student Rights and Responsibilities* handbook discusses the non-academic and academic relationships between the University and students, and policies and procedures on Student Records.

We welcome you to the University of Kentucky and trust that your stay with us will be both enjoyable and rewarding.
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The Graduate Program

1.1. Graduate Degrees

1.1.1. The Department of Chemistry at the University of Kentucky offers two graduate degrees -- the MS and the PhD (doctoral) degree. In the MS degree program, the student has the option of pursuing the MS Plan A, which involves research and a thesis, or the MS Plan B, a non-thesis or coursework-only option. A Master’s degree is not a prerequisite for the PhD degree.

1.1.2. There are a number of academic requirements for the graduate degrees in Chemistry. In general, these requirements are a combination of overall University/Graduate School requirements and those set by the Department of Chemistry itself (and approved by the Graduate School). Departmental requirements cannot set aside University requirements and regulations and, moreover, must be within the spirit and the letter of the latter.

1.1.3. In the following sections, we attempt to delineate as carefully, clearly, and logically as possible the Graduate School and Departmental academic requirements, policies, and procedures for the graduate degrees offered in Chemistry.

1.1.4. Whenever material changes are made in academic requirements, every effort is made to communicate these changes to graduate students in writing as soon as possible. When academic requirements for a degree program are changed, either by the University or by the Department, they may not be retroactively imposed on the graduate students already enrolled in a program, as long as continuous enrollment is maintained. Essentially, the student has the option of graduating under the complete set of academic requirements in place at the time of entry into the program, or under the complete set in effect at the time of graduation.

1.1.5. Simple changes in administrative procedures or clarifications or interpretations of existing academic requirements are different. When these are made, they are usually effective immediately upon notification.

1.1.6. In addition to the MS and PhD degree programs, the Department of Chemistry also participates in the University Scholars Program. This is a special program offered by the University in which an undergraduate student can complete both a bachelor's and a master's degree in the major with, essentially, up to 12 fewer (graduate-level) credits than required by the two programs separately.

1.2. Prerequisites for Graduate Work

1.2.1. Four years of chemistry covering the areas of general, organic, physical, and analytical constitute the normal minimum requirement for pursuing graduate work within this Department. Additional prerequisite undergraduate work includes one year of college physics, mathematics through calculus, and training in some foreign language. In special cases, exceptions to these rules may be made by the Director of Graduate Studies and the Graduate Program Committee.

1.2.2. An undergraduate grade point average of at least 3.0 (based on a 4-point system) normally shall be required for admission as a graduate student in the Department of Chemistry. The Graduate Program Committee shall, however, be authorized to admit students with averages as low as 2.75, based on such evidence of ability as high GRE scores, recommendations, or excellent preparation. No students with undergraduate averages below 2.5 will be admitted.

1.2.3. Graduate students who lack specified prerequisites, or are found to be deficient in the Proficiency Examinations given during the orientation program, may make up their deficiencies by registering in and successfully completing the appropriate (usually undergraduate) courses.
1.2.4. All applicants for admission to the degree programs in the Graduate School of the University of Kentucky must submit official scores on the aptitude portion (Verbal, Quantitative, Analytical) of the Graduate Record Examination. In the rare case where this has not been done prior to the student's admission to the Department of Chemistry, then it must be done during the student's first semester of residence. Failure to do so can result in the student's being dismissed from the University by the Graduate School.

1.3. Course Work

1.3.1. Course work for both the MS and PhD shall include four core courses, one from each of four of the five areas of chemistry: analytical, biological, inorganic, organic and physical. Students who entered the program prior to the Fall 2014 semester must select one course from each of the two areas where the lowest proficiency examination scores were obtained. The following (three-credit) courses are officially “core” in each area: CHE 626 (Fall Analytical), CHE 623 (Spring Analytical); CHE 550 (Fall Biological), CHE 552 (Spring Biological); CHE 510 (Fall Inorganic), CHE 514 (Spring Inorganic); CHE 538 (Fall Organic), CHE 535 (Spring Organic); CHE 547 (Fall Physical), CHE 548 (Spring Physical).

1.3.2. The content of each core course shall be decided by the respective division. The general guideline shall be that the core courses are to be surveys of undergraduate material sufficient to prepare the students for advanced courses. Some component of advanced topics beyond the undergraduate level is encouraged.

1.3.3. The curriculum and topics of each core course shall be fixed by the division so that continuity is maintained and the advanced courses can build upon known background knowledge.

1.3.4. One of each pair of these courses shall be offered in fall term and one in spring term so that any student, regardless of when he or she enters the program, will have the opportunity to take all of the required core courses in the first year.

1.3.5. The first course a student chooses to take in each of the four pairs of courses shall be considered the core course. The second, if taken, shall be considered an advanced or specialty course.

1.3.6. A student must pass, or successfully bypass, the four required core courses by the end of the second year of residence. Failure to do so will place the student in a terminal MS program. At least three of the four core courses shall be taken in the first year. A student must pass three of the four core courses with a B or better; a lower performance shall mean that the student is in a terminal MS program. A student with two C grades in core courses may elect to take the other core course in one of these two areas. If a grade of B or better is obtained, the second core course will then count as the core course in that area. Otherwise, the first-taken core course reverts to being the designated core course. To exercise this option, which can be used only once, the student must apply in writing and receive the approval of the Director of Graduate Studies before the beginning of the semester in which the second course is to be taken. Exceptions to this requirement shall be the prerogative of the Graduate Program Committee, on petition from the student and the advisor.

1.3.7. Proficiency exams, normally consisting of the standardized ACS Graduate-Level Placement Exams, shall be administered to each student on entry. Each division shall formulate recommendations as to the proper course(s) for each student, and recommend bypass of a core course for a sufficiently high score. If a division recommends a bypass, a student needs only to have the approval of his advisory committee to do so.

1.3.8. A student wishing to bypass a core course without a divisional bypass recommendation may present a petition, approved by the advisor and advisory committee, to the Graduate Program Committee. A student with an awarded master's degree from UK or another accredited school, who has also scored at the 70th percentile or above on at least one proficiency exam topic, may petition his / her advisor and advisory committee to bypass up to 12 credit hours of course requirements for the PhD, subject to
approval by the GPC.

1.3.9. Students will not be considered to be making satisfactory progress unless they have completed at least 12 hours of course work other than research and seminar by the end of the first year and 24 hours of course work by the end of the second year. In addition, any time a student's GPA falls below a 3.0, this is automatically considered to be unsatisfactory performance. Students who are not making satisfactory progress after three semesters will be ineligible to serve as teaching assistants and may be terminated in the graduate program.

1.3.10. For an advanced degree in chemistry a student must: (a) attain at least a 3.0 grade point average in all chemistry courses including research courses and (b) attain at least a 3.0 grade point average in all courses attempted while registered in the Graduate School.

1.3.11. A graduate student who has completed 12 or more semester hours of graduate course work with an average of less than 3.0 will be placed on academic probation by the Graduate School. The student will then have one semester or 9 credit hours to remove the scholastic probation by attaining a cumulative 3.0 average in graduate course work. If the probation is not removed, the student will be dismissed from The Graduate School. A student who has been dismissed from The Graduate School for these reasons may reapply for admission to The Graduate School after two semesters or one semester and the eight week summer term. Exceptions to this policy can be made only by the Dean of the Graduate School.

1.3.12. A graduate student may elect to repeat a graduate course and count only the second grade as part of the GPA. A student may exercise this repeat option only once in a particular degree program. To exercise the option, the student must complete the necessary form from the Graduate School, which is then signed by the Director of Graduate Studies. You should complete and file this document before the beginning of the semester in which you retake the course. Replacement of the previous, undesirable grade is not automatic simply on retaking a course. If you do not formally follow this procedure, then both the old and the repeated grades are included in the GPA.

1.3.13. If a student's advisory committee requests that the student audit a given course or courses, the student must officially audit the course or courses specified. To qualify for official audit, the student must attend at least 80% of the class meetings and fulfill any other requirement imposed by the instructor.

1.3.14. Credits in CHE 790, Research in Chemistry, may not be used as part of the 24 or 30 minimum credits of course work required for an MS degree in Chemistry. Up to a maximum of 8 credits in CHE 780, Individual Work in Chemistry, may be used for the MS plan A. To enroll in CHE 780, the student must have the approval of the Director of Graduate Studies, who is responsible for assigning a grade in the course. According to the Graduate School Bulletin, independent study or research courses (like CHE 780) must not duplicate thesis work.

1.3.15. Credits in CHE 748 and 768 may not be used as part of the 24 or 30 minimum credits of course work required for the MS degrees in Chemistry.

1.3.16. The Department of Chemistry requires that every graduate student officially registers for a divisional seminar, CHE 776, for 3 credits for PhD students or 2 credits for MS students. All PhD students must present one Divisional Seminar, CHE 776, each year until passing their oral qualifying exam, 3 seminars minimum. MS students are required to present two Divisional Seminars. Regardless of official registration, every student in residence at the University must fully participate in at least one divisional seminar series each semester. Student participation includes giving research updates, literature reviews, seminar evaluations, or other activities designated by the instructor when they are not required to give a seminar for credit.

1.3.17 **Effective Fall 2014**, graduate students must obtain approval from their advisory committee or the Graduate Program Committee, if advisory committee has not been established, before enrolling in any courses besides Research credits in Chemistry (CHE 767, 768, and 790) or Graduate Seminar (CHE 772 and 776). Failure to do so places the student at risk to have all departmental support revoked.
1.3.18 A graduate student who concurrently enrolls in another graduate program without written permission from the Chemistry Graduate Program Committee will not receive any departmental funding.

1.4. Master of Science Degree, Plan A

1.4.1. The usual and preferred MS degree in the Department of Chemistry is the Plan A, or Thesis, Master's degree.

1.4.2. The general requirements for the MS Plan A in the Department of Chemistry are the following:

1. A minimum of 24 graduate credit hours, 12 of which must be at the 600- or 700-level (Graduate School requirement). The advisory committee may require additional courses.

2. At least two thirds of the *minimum* requirements for the master's degree (16 credits) must be in "regular" courses. (Excludes research and independent study courses, CHE 748, and CHE 768. Graduate School requirements.)

3. The Chemistry Department will not permit CHE 790 to be used toward the minimum of 24 course credits, but up to a maximum of 8 credits of CHE 780 may be used as long as research in CHE 780 does not duplicate thesis work. [Note that some CHE 790 may be used to satisfy the Graduate School's requirement of 12 credits of courses at the 600- or 700-level.]

4. Credit in CHE 748 and 768 cannot be used to fulfill the 24 credit-hour minimum course requirements.

5. Four core courses must be taken, each from a different area (analytical, biological, inorganic, organic, and physical) of chemistry. For students who entered the program *prior to the Fall 2014 semester*, two of the four core courses selected must be in the areas in which the student's entrance proficiency examination scores were the lowest.

6. A minimum of 16 hours of Chemistry courses at the 500-, 600-, or 700-level. This constitutes the student's "major area" of study.

7. A maximum of 3 credits in seminar, practicum, and colloquium courses, such as CHE 772 and 776, toward the minimum of 24.

8. All Graduate School requirements must be fulfilled.

9. A final oral examination is required, and must be scheduled at the Graduate School a *minimum* of two weeks in advance.

1.4.3 A convenient "Checklist for the MS -- Plan A" is provided in the Appendix. Please note that this is only a skeletal checklist of degree requirements and some procedures. Not all possible details, complications, and permutations can be listed in this abbreviated checklist.

1.4.4. CHE 768, Residence Credit for the Master's Degree, 1-6 cr, may be used by MS students who are writing a thesis. They may sign up for credit and pay fees. No zero credit.

1.4.5. CHE 748, Master's Thesis Research, provides for continuous enrollment of Master's students once ALL required course work has been completed. For loan and immigration purposes, CHE 748 serves for Master's students in the same manner as CHE 749 and 767 do for doctoral students. The easiest way to register for CHE 748 is for the Director of Graduate Studies to automatically preregister you; information and forms are sent out at appropriate times during the year. Otherwise, you must register directly with the Graduate School, and must bring with you a letter from the Director of Graduate Studies stating that you are working at least half-time on your thesis or thesis research.

1.5. Master of Science Degree, Plan B

1.5.1. Students in the Department of Chemistry may satisfy the requirements for a course work or non-thesis MS degree (Plan B).

1.5.2. Students wishing to follow this plan shall present for the approval of the Graduate Program Committee a program of courses that meets the following requirements. This plan should be prepared and submitted as early as is reasonably practicable in the student's career, so that last-minute
complications are avoided.

1. A minimum of 30 graduate credit hours, 15 of which must be at the 600- or 700-level (Graduate School requirement). The advisory committee may require additional courses. Of these 15 advanced credit hours, the Council on Postsecondary Education currently requires that 12 credit hours be in Chemistry (CHE) courses.

2. At least two thirds of the minimum requirements for the master's degree (20 credits) must be in "regular" courses. (Excludes research and independent study courses, Graduate School requirements.)

3. Four core courses must be taken, each from a different area (analytical, biological, inorganic, organic, and physical) of chemistry. For students who entered the program prior to the Fall 2014 semester, two of the four core courses selected must be in the areas in which the student's entrance proficiency examination scores were the lowest.

4. One additional course in each of three of the following areas: analytical/radiochemistry, biological chemistry, inorganic chemistry, organic chemistry, physical chemistry, and cross-disciplinary. Only courses having two or more credits may be counted.

5. Six credits in courses outside the Department of Chemistry. These courses, which need not be at the graduate level, must be part of an integrated package and their value in terms of the student's career goals must be justified to the Graduate Program Committee.

6. A maximum of three credits of seminar, practicum, or colloquium courses, such as CHE 772 and 776, may be used toward the 30-credit minimum.

7. Credits in CHE 780 and 790 cannot be used toward the 30-credit minimum course requirement. However, these can be used to fulfill the Graduate School requirement of 15 hours at or above the 600-level.

8. Credits in CHE 748 and CHE 768 cannot be used in any way toward the MS Plan B because these deal with research and the writing of a thesis, which are not applicable to this degree.

9. All Graduate School requirements must be fulfilled.

10. A final oral examination is required for this degree. The examining committee (advisory committee) is appointed by the Director of Graduate Studies. The exam must be scheduled at the Graduate School a minimum of two weeks in advance.

1.5.3. A convenient "Checklist for the MS -- Plan B" is provided in the Appendix for the student. Please note that this is only a skeletal checklist of degree requirements and some procedures. Not all possible details, complications, and permutations can be listed in this abbreviated checklist.

1.6. The PhD Degree

1.6.1. It is assumed that all students entering the Department of Chemistry are in the PhD program unless they elect or are required to complete their studies with an MS

1.6.2. The general requirements for the PhD degree are --

1. Four core courses must be taken, each from a different area (analytical, biological, inorganic, organic, and physical) of chemistry. For students who entered the program prior to the Fall 2014 semester, two of the four core courses selected must be in the areas in which the student's entrance proficiency examination scores were the lowest.

2. A minimum of 8 credits of graduate-level (500-level or above) Chemistry courses in addition to the required core courses. They shall be "regular" courses, that is, seminar, colloquium, practicum, independent study, and research courses are excluded; they should generally be in the student's area of study. The second core course of a pair, if taken, can be considered an advanced or specialty course.

3. A minimum of 3 credits of course work outside of the Department of Chemistry. These credits need not be in graduate-level courses, but must be approved by the advisory committee. Alternatively, these credits can be in graduate-level courses in the Department of Chemistry, selected in an area
outside the students of the area of concentration. For this purpose, each of the graduate courses has been designated as belonging either to one of the five areas of chemistry or as multidisciplinary, as listed in Appendix F.

4. Teaching a minimum of one-quarter time (10 hours/week appointment) for a minimum of one semester.
5. Successful completion of the Department's cumulative exam requirements, which constitute the written portion of the qualifying examination.
6. Presentation of a departmental seminar on the student's dissertation research. This is usually done in the last semester of residence.
7. All Graduate School requirements such as residence requirements and the qualifying oral must be fulfilled.

1.6.3 For a PhD student to be making normal progress, it is expected that all required course work be completed within 5 semesters, except in the case of an advanced or specialty course which may be offered only every 2 or 3 years.

1.6.4 In addition to the minimum general course work requirements described above and Graduate School requirements, the student's advisory committee shall set course requirements for the PhD.

1.6.5 A convenient "Checklist for the PhD" is provided in the Appendix. Please note that this is only a skeletal checklist of degree requirements and some procedures. Not all possible details, complications, and permutations can be listed in this abbreviated checklist.

1.7 The Doctoral Qualifying Examination -- Cumulative Exams

1.7.1 The qualifying examination for the PhD, which is required by the Graduate School, consists of two parts -- a written part and an oral part. In the Department of Chemistry, the periodic cumulative exams shall constitute the written portion of the qualifying examination.

1.7.2 It is assumed that all students entering the Department's graduate program are doctoral students in the PhD program. All students are strongly urged to take cumulative exams on entry. If a student does not successfully pass these exams as outlined for whatever reason, including simply choosing not to take the exams in the manner prescribed, then that student will be in a terminal MS program.

1.7.3 In the first four semesters of residence, a student shall take cumulative exams to complete the written portion of the qualifying examination. The cumulative exam system shall be the same, whether the student enters the Department with a Bachelor's or Master's degree.

1.7.4 There shall be 8 cumulative exams given per year -- one each month in September through December and February through May -- for analytical, biological, inorganic, organic, and physical chemistry. The student may take only one exam from the packet of exams available on each test date.

1.7.5 These exams will be graded without knowledge of the identity of the student taking the exam and scores will be decided by divisional consensus. Possible scores are 3 (high pass), 2 (pass), 1 (marginal pass) or 0 (fail). As with the oral qualifying exam, cumulative exam scores are final.

1.7.6 A student must accumulate a minimum of 8 points, a maximum of 4 by scores of 1, in no more than 16 exams. A student who does not shall then be in a terminal MS program.

1.7.7 A student's advisory committee shall decide on any distribution of point requirements among the areas of chemistry.

1.7.8 The cumulative exam requirements of a part-time student not in residence shall be set by the student's advisory committee. The student should prepare and submit a petition to the Director of Graduate Studies during the first semester in the program presenting the student's situation and proposing a plan for taking these exams.
1.7.9. The cumulative exams will be carefully designed to test PhD level ability and preparation. Each division is required to decide on general guidelines for exam content which must be made available in writing to the Graduate Program Committee and the students. A cumulative exam must be reviewed beforehand by at least 1 faculty member other than the writer of the exam. Cumulative exams shall be graded and the results made known to students within 2 weeks of the date of each exam.

1.7.10. The Graduate Studies staff assistant will announce the topic of each cumulative exam on the Wednesday before the exam is scheduled to take place. The exams will be two hours in length and will be administered and graded without knowledge of the student's identity. Feedback on the cumulative exams should be available in the form of a posted key or in discussion group by the division which prepared the exam.

1.7.11. A file of copies of previous cumulative exams is maintained in the department office. Students may check these out through the receptionist for overnight use.

1.7.12. Graduate students classified as post-baccalaureate may not take cumulative exams.

1.7.13. If a student misses one of more of the cumulative exams for a substantive reason -- for example, serious illness, a death in the immediate family, attendance at a professional meeting -- the student should submit as soon as possible a petition to the Graduate Program Committee for extending the allowed time so that the exam missed can be taken (if needed) at a regularly scheduled date. Only in very unusual circumstances will approval be given to take an exam at a different time from the normally scheduled cumulative exam dates and times.

1.7.14. A student who earns an MS degree from the University of Kentucky Chemistry Department and is not enrolled for a minimum of one year (two semesters), may then enter the cumulative exam system after being admitted to the PhD program.

1.8. The Doctoral Qualifying Examination -- Oral Qualifying Examination

1.8.1. The Graduate School requires that a student complete 36 graded graduate credits (taken for credit, not audit) before taking the oral qualifying examination. All "S" grades must be replaced with letter grades before the exam is taken. In addition, the student must be enrolled as a full-time student for two consecutive semesters at some time before the oral qualifying exam. A definition of full-time student and exceptions to these rules for students with Master's degrees may be found in the Graduate School Bulletin.

1.8.2. The oral qualifying examination shall normally be given by the end of the fifth semester of residence. This requires that all course and residence requirements specified by the Graduate School have been satisfied.

1.8.3. When a student has met all of the requirements leading up to the oral portion of the qualifying examination, he or she should take this oral exam within one semester.

1.8.4. A student who plans to take the oral qualifying exam in the next semester should advance-register for CHE 767 for 2.0 credit hours. The request to schedule the Qualifying Exam must then be submitted online a minimum of two weeks in advance of the planned date. (The exam date must be settled with the advisory committee before this form can be filed.)

1.8.5. The oral qualifying examination shall normally include, but not necessarily be limited to, a defense of the student's research proposal. A written research proposal shall be supplied to the members of the advisory committee a minimum of two weeks in advance of the oral examination. The research proposal should be a description of the student's research plans and of the progress already made. It should include a description of the major question (or questions) being addressed, a discussion of the
significance of the question, key references in the area, and a description of the proposed method by which the question is to be answered.

1.8.6. If the student fails the oral qualifying examination, the advisory committee may, but is not required to, permit one and only one reexamination. The second exam is scheduled in the normal manner with the Graduate School. This must be scheduled no sooner than 4 months and no later than one year after the date of the first examination.

1.9. Teaching Requirement

1.9.1. All graduate students are required to teach at least one semester quarter-time (10 hr/wk) as a requirement for the PhD degree. This requirement should be fulfilled in the first three years of graduate study. A student entering with an MS degree should complete this requirement within two years, but equivalent teaching experience (at the University of Kentucky or elsewhere) in an MS degree program ordinarily will be accepted by the Director of Graduate Studies as fulfilling this requirement, given the approval of the student's advisory committee.

1.10. Dissertation and Thesis Work (Research)

1.10.1. Doctoral degrees are earned in the Department of Chemistry after a student has carried out productive and independent research on a problem that is of significant chemical interest. It is expected that the results of the dissertation work will be published. Such results cannot normally be obtained with less than two years of full-time laboratory work on the part of the student.

1.10.2. Until a dissertation director (research advisor) is chosen, a member of the Graduate Program Committee will serve as the student's advisor. Clearly, even the most appropriate plan of courses cannot be detailed until the student has chosen an advisor and research area. It is therefore of importance that these be chosen as soon as possible. A student must choose an advisor, and have an advisory committee appointed, by the end of the first semester in residence. For students entering in January, it is recommended that this be done prior to the summer term so that they are eligible for financial support in the summer term.

1.10.3. Each student will receive a list of how many students each faculty member is permitted to accept, based on the GPC's graduate student allocation plan. A faculty member may choose to accept fewer members than his or her allocation permits.

1.10.4. Students will receive a "Research Advisor Interview" form (the "blue sheet") from the Director of Graduate Studies when they enter the program. The purpose of the blue sheet is to ensure that students consider a wide range of possible advisors before deciding on one. Each student should discuss faculty members' research interests with at least six faculty members at the poster session held early in the semester in which he or she enters, and with at least three faculty members in one-on-one interviews. (The two sets may overlap. If there is no poster session in the semester in which a student enters, the student must simply interview five faculty in one-on-one interviews.) Students should procure faculty members' signatures on the blue sheet to show that the discussions took place. Students should also talk to other students who are working for a prospective advisor, and perhaps attend a group meeting or two. Once the student has decided on a first choice, he or she should return with the blue sheet to the Director of Graduate Studies, who will confirm that the student has considered an appropriate range of advisors. The student should then approach the prospective advisor and ask to join his or her group. If the faculty member does not want to decide immediately whether to accept the student into his or her group, he or she should decide as quickly as possible out of consideration for the student. Faculty members have complete discretion on which students to accept into their groups. If the faculty member agrees to become the student's advisor, the student should procure the advisor's signature on the blue sheet and return it to the Graduate Studies Staff Assistant. Students should begin work on a research topic immediately after selecting an advisor.
1.10.5. The Department expects that students who enter the Chemistry program will choose a major research advisor with an appointment (or a joint appointment) in the Department of Chemistry. Exceptions will be considered by the Graduate Program Committee on a case-by-case basis, but they will be granted only under unusual circumstances. If a student chooses an advisor who does not have an appointment in Chemistry, a majority (half is insufficient) of the voting members of the student’s advisory committee must have appointments in Chemistry. Students whose major advisors do not have an appointment in Chemistry must fulfill the same requirements for a Chemistry degree as those with advisors within the department. Students who select an advisor who does not have an appointment in Chemistry are not guaranteed financial support by the Department (summer support, TA positions, travel, etc.) beyond their first year.

1.10.6. Every student conducting research that is to be used in connection with a master's thesis or doctoral dissertation should be registered for a minimum of one semester hour or the equivalent. A student who has fulfilled all other residence requirements for the PhD degree must register for CHE 767, Dissertation Research, for two credit hours every semester until the PhD defense is completed. (Exception: Students who joined the program prior to Fall 2005 may register for CHE 769 for 18 credits over two or three semesters, then CHE 749 for up to six semesters thereafter until the PhD defense is completed.) Registration in CHE 767 is considered to be equivalent to full-time status.

1.11. The Advisory Committee

1.11.1. Soon after accepting the responsibility for directing the research of a graduate student, the faculty member and the student need to discuss the appointment of an advisory committee. The student must secure the permission of each member to be appointed to the committee. The Dean of the Graduate School appoints the committee after receipt of the appropriate form signed by the Director of Graduate Studies.

1.11.1.1. The doctoral advisory committee has a "core" of four members of the Graduate Faculty at the University of Kentucky. The core consists of the major professor (research advisor) as chair, generally two members from the major area in Chemistry and at least one from a minor area in Chemistry. At least one of the members must be from outside the academic program, that is, from outside the Department of Chemistry. No more than two members of the committee may be associate members of the Graduate Faculty. In cases where a student's research advisor is not a member of the Graduate Faculty, a committee co-chair who is a full member must be specified. After securing the agreement of four faculty to serve on their committee, PhD students must complete the Doctoral Advisory Committee Request form on the Graduate School's website to make their committee official.

1.11.1.2. The MS advisory committee must contain at least three qualified members. At least one of the members must be a full member of the Graduate Faculty. In some cases, it is appropriate for one of the members to be from outside the Department of Chemistry. MS students must form an advisory committee prior to scheduling their final exam through the Graduate School.

1.11.2. The advisory committee must meet early in the student's career. The first meeting must be held by the end of the second semester in residence. This initial meeting is required for a student to make satisfactory progress toward the degree. An early meeting is important to discuss and initially approve the program of courses to be taken by the student, the important question of the area(s) of the cumulative exams in which the student must earn points, initial ideas on the student's research project, and other topics pertinent to the student's program. A short written report of the meeting, including the committees' decisions on the course plan and the areas of cumulative exams to be passed, must be sent to the Director of Graduate Studies within two weeks of the meeting. Advisory committees are involved in the administration of the oral qualifying examination, the supervision and preparation of the thesis, and the final examination.

1.11.3. After the initial meeting, the Graduate School requires the advisory committee to meet at least
annually to review the student's progress. A short written report of each meeting must be sent to the Director of Graduate Studies within two weeks. These meetings are required for a student to make satisfactory progress toward the degree. It is the joint responsibility of the student and advisor to schedule these meetings. Failure to hold these meetings can result in suspension of support for the student.

1.11.4. Qualifying and final oral examinations must be formally scheduled with the Graduate School, through the Director of Graduate Studies, a minimum of two weeks in advance. The necessary forms can be found on the Graduate School’s website. Ordinary, "routine" meetings of the student's advisory committee to monitor progress do not need to be scheduled formally.

1.11.5. All decisions of the advisory committee, including a vote to pass a qualifying or final examination, are by majority vote of its Graduate Faculty members. (A tie vote means that the student does not pass.)

1.12. Residence Requirements

The following rules come from the Graduate School’s Policies and Procedures Manual for Directors of Graduate Studies, which can be found at http://www.research.uky.edu/gs/dgsnotes.html. If there are any differences between the rules listed here and the Graduate School’s rules, the Graduate School’s rules take precedence.

1.12.1. There are no residence requirements for the MS degree. However, Master's students may wish to enroll in CHE 748 after completion of course work until the final exam if their visa status requires it, if they need to maintain eligibility for student loan deferment, etc.

1.12.2. Pre-Qualifying Residence.

1.12.2.1. The PhD student is required to have two years of full-time residence before the oral qualifying exam, but these do not necessarily correspond to the temporal history of the student in the program. For accounting purposes, these "two years" are translated into 36 credit hours. The student should consult the "Checklist for the PhD" in the Appendix and the sections below for our latest understanding of residence requirements.

1.12.2.2. Pre-qualifying residence requires the completion of 36 graded credit hours of course work (including research credit), and must be completed within 5 years of entry into the doctoral program. A portion of these credit hours (up to 18 hours), can come from a prior-awarded master’s degree from UK or another accredited school upon petition and approval of the GPC.

1.12.3. Post-Qualifying Residence.

1.12.3.1. PhD students who first enrolled in the program in Fall 2005 or later must register for CHE 767 every fall and spring semester from when they pass their oral qualifying exam until they defend their PhD successfully (inclusive). CHE 767 is a nine-credit course, but only two hours of tuition are charged for it.

1.12.3.1.1. Students defending their PhD during a summer term do not need to enroll in CHE 767 for that term.

1.12.3.2. A student may register for 2 credits of CHE 767 in the semester of the oral qualifying examination. If the qualifying exam is postponed or if the student fails to pass, CHE 767 will be dropped in favor of 9 credits of CHE 790, and the corresponding amount of tuition will be charged to the appropriate account. Students on Research Assistantships will want to take advantage of this option.

1.12.4. Audited credits do not count in any manner toward the total number of credits nor toward making a "full-time" semester, in the Graduate School's pre-qualifying-exam residence requirements. Only graduate-level courses taken for credit do.
Upon petition to the Graduate Dean, through the student's advisory committee and the Director of Graduate Studies, an MS degree from an accredited American university will normally be accepted as satisfying one of the two years of pre-qualifying-exam residency.

1.12.6. See the "Checklist for the PhD" and Section 1.16. for a listing and current description of the various residence courses in Chemistry.

1.13. Writing the Thesis or Dissertation

1.13.1. When the qualifying examination has been passed and course requirements have been met, it is time to redouble research effort and make the concentrated attack needed for meaningful progress. Only after the advisor is satisfied that the student has performed work of the appropriate quality and quantity can the thesis preparation begin.

1.13.2. Technically, at the University of Kentucky, Master's students submit a thesis, whereas PhD students submit a dissertation.

1.13.3. Grammar and style as well as scientific content are important. Instructions for the Preparation of Theses and Dissertations (http://www.research.uky.edu/gs/CurrentStudents/theses_prep.html) should be studied carefully and then followed. There are many very specific format and other requirements for theses and dissertations, and if you do not follow these requirements, your preliminary or final copy is simply rejected until you correct the offending points.

1.13.4. Within the limits set out by the Graduate School, the student and advisor are generally free to use most any consistent set of detailed formatting and referencing style. The Department of Chemistry does not specify any particular style, but encourages the use of format that is clearest and most appropriate for the work described and/or commonly used in the sub discipline involved.

1.13.5. A complete and final copy of the thesis or dissertation to be defended should be presented at the time of the final oral examination with the exception that temporary pagination is acceptable. The following requirements must be met:

1. All data entered,
2. Headings or captions of tables or figures completed,
3. Table of contents and lists of tables and figures presented,
4. Figures prepared in final form, not hand drawn,
5. Bibliography and/or List of References completed,
6. Carefully typed,
7. Carefully proofread for spelling and punctuation, and
8. Suggestions of readers incorporated.

The Instructions for Preparation (see link above) contain a complete listing of all the parts that must be included before the Graduate School will schedule your oral examination or accept the final copy.

1.13.6. The Department of Chemistry requires that four copies of the thesis or dissertation be prepared. The original and the first copy are to be presented to the Dean of the Graduate School. The second and third copies are to be placed in suitable binders; the second copy is to be presented to the advisor, and the third copy is to be retained by the student.

1.13.7. IT IS ABSOLUTELY CRITICAL THAT THE SOURCE OF ALL INFORMATION AND WRITING TAKEN FROM PUBLISHED LITERATURE AND PRESENTED IN A THESIS OR DISSERTATION BE CLEARLY IDENTIFIED. FAILURE TO DO SO CONSTITUTES PLAGIARISM AND WILL BE REGARDED AS SUFFICIENT REASON TO DENY THE DEGREE AWARD OR TO RESCIND A DEGREE THAT HAS ALREADY BEEN AWARDED.
The details and timing of the various events and forms necessary for the final oral examination are somewhat complicated. The student is urged to consult the Graduate School Bulletin and the appropriate checklist, which is included in the Appendix.

1.14.1. The University of Kentucky requires a final oral examination of every candidate for a graduate degree, either MS or PhD.

1.14.2. Registration requirements

1.14.2.1. A doctoral student must be officially registered during the term in which the final oral examination is held. Usually, this is accomplished by registration in CHE 767 for 2 credits. However, students defending their PhD during a summer term do not need to enroll in CHE 767 for that term. Students who took the CHE 769 option should be registered in CHE 749 or CHE 769 for 0 credits.

1.14.2.2. An MS student need not be officially registered during the term in which the final oral examination is held.

1.14.3. Forms and timeline

1.14.3.1. A student intending to graduate at the end of the current semester must submit a “Graduate School Application for Degree,” within thirty days of the start of the semester (fifteen days for the summer term). This form must be approved by the Director of Graduate Studies. If the requirements for the degree are not fulfilled by the end of the semester, a new application must be submitted in the subsequent term.

1.14.3.2. A student intending to schedule a final doctoral examination in the current semester must submit a “Notification of Intent to Schedule a Final Doctoral Examination” at least eight weeks before the exam is to be scheduled. This form must be approved by the Director of Graduate Studies. The purpose of this form is to give the Graduate School sufficient time to find an outside member of the advisory committee. The form requires that you provide a two-week range for scheduling the final oral examination, but you do not yet need to schedule the exact date and time. This form is not required for MS candidates.

1.14.3.3. PhD candidates should settle on a date for the final examination with all committee members as soon as the Graduate School assigns an outside examiner. The date must be at least four weeks in the future from when it is chosen. MS candidates should settle on a date for the final examination with all committee members as soon as they know they will be defending. The date must be at least two weeks in the future from when it is chosen.

1.14.3.4.1 PhD candidates must distribute their dissertation to their committee members at least four weeks prior to the final oral examination so that the advisory committee members have sufficient time to read the dissertation before signing the “Dissertation Approval Form.” Failure to appreciate this requirement may significantly delay the attainment of a degree. The form must then be signed by a majority of members of the Advisory Committee and the Director of Graduate Studies and submitted to the Graduate School at least two weeks before the planned date of the final oral examination. The Director of Graduate Studies encourages the members of the Advisory Committee to sign the form only if they have had two weeks to read the dissertation and they believe that the dissertation is ready to defend.

1.14.3.4.2 MS candidates must provide the research advisor the thesis (or an advanced draft) at least four weeks before the planned date of the defense. The departmental “Dissertation Approval Form” must be signed by the research advisor and the Director of Graduate Studies and submitted to the Graduate School at least two weeks before the planned date of the final oral examination. All committee members
need to receive the completed thesis two weeks before the planned date of the defense.

1.14.3.5. To schedule the final oral examination, the appropriate form (“Request for Final Master's Degree and Specialist in Education Examination,” or “Request for Final Doctoral Examination for the PhD”) must be submitted at least two weeks in advance of the planned date of the defense.

1.14.4. The final oral examination will not be scheduled by the Graduate School if there are any outstanding requirements for the degree not completed other than courses in progress. Only students having a 3.0 or better graduate GPA may sit for a final examination. All "missing", I, and S grades (except for S grades in CHE 748, 749, 767, 768, and 769) must be removed before a final examination may be approved.

1.14.5. The final oral examination for the PhD must be scheduled on a day on which classes are "normally" in session -- that is, not in the periods between terms, on weekends, or on official University holidays. Only in extremely unusual circumstances may an exception may be made, by prior petition to the Graduate Dean through the Director of Graduate Studies.

1.14.6. The examination is a public event. As of Fall 2014, the topic, location, and time of the examination are announced on the departmental seminar website at least one week prior to the examination date. The administration and judgment of the final oral examination shall be done by the advisory committee supplemented by an outside examiner appointed by the Dean of the Graduate School.

1.14.7. After the final oral examination, the degree candidate has 60 days to submit to the Graduate School the signed final copy of the thesis or dissertation, including any corrections required by the advisory committee.

1.14.8. Students who are research assistants in the semester of their defense should consult section 2.16 of this handbook.

1.15. Time Limitations for Graduate Degrees

The following rules come from the Graduate School’s Policies and Procedures Manual for Directors of Graduate Studies, which can be found at http://www.research.uky.edu/gs/dgsnotes.html. If there are any differences between the rules listed here and the Graduate School’s rules, the Graduate School’s rules take precedence.

1.15.1. All Master's degree requirements must be completed within six years. (Students who entered the program before Fall 2005 have eight years.) Extensions of up to two years may be approved by the Dean of the Graduate School upon written recommendation of the Director of Graduate Studies. Further extensions of up to another two years must be considered by the Graduate Council. No activity completed more than 10 calendar years preceding the proposed graduation date will be considered for graduation.

1.15.2. A doctoral candidate who entered the program in Fall 2005 or later must take the oral qualifying exam within five years of entering. Extensions of up to twelve months may be approved by the Dean of the Graduate School upon written recommendation of the Director of Graduate Studies. Further extensions of up to another twelve months must be considered by the Graduate Council and will require the positive recommendation of the Director of Graduate Studies, the chair of the student’s advisory committee, and a majority vote of the Graduate Faculty in the program. If the qualifying examination is not completed within five years or within the time of the approved extensions, the student shall be dismissed from the program.

1.15.3 All degree requirements for the doctorate must be completed within five years following the semester or summer session in which the candidate successfully completes the qualifying examinations.
In the event that all degree requirements are not met during the five-year period, degree candidates who provide evidence of the likelihood of completing the degree during an extension of time may be granted such an extension by the Graduate Council. Extensions of up to twelve months may be approved by the Dean of the Graduate School upon written recommendation of the Director of Graduate Studies. Further extensions of up to another four years must be considered by the Graduate Council. Any extension beyond a total of six years past the qualifying examination will require that the student retake the qualifying examination. Failure to pass the reexamination indicates the termination of degree candidacy. A second reexamination is not permitted. Failure to complete all degree requirements within ten years of the qualifying examination will result in dismissal from the program.

1.16. Full-Time Status

1.16.1. For fee payment, ID card, student loan deferral, avoiding deduction of FICA and city payroll tax, and many health insurance policy purposes, a graduate student is considered a “full-time student” if registered and paying for a minimum of 9 credit hours (for credit, not audit) a semester. (Registration in CHE 769, 749, or 748 for 0 credits or in CHE 767 for 2 credits will also suffice.) During the summer, enrollment for a minimum of 5 credits during the 8-week session constitutes full-time enrollment. This is the only definition of “full-time student” that the Registrar will use for officially certifying you as a full-time student to anybody for any purpose whatsoever.

1.16.2. For immigration purposes, an international student needs to be registered for 9 credits each semester, for credit or for official audit, to be considered a full-time student.

1.16.2.1. Registration in CHE 767 (2 credits), CHE 749 (0 credits), or CHE 748 (0 credits) confers full-time status.

1.16.2.2. An international MS Plan B student in his or her last semester may take fewer than 9 credits and remain in legal status by filing a request for reduced course load. The form can be found at [http://www.uky.edu/international/Reduced_Course_Load](http://www.uky.edu/international/Reduced_Course_Load).

1.16.2.3. International students with health problems may request a reduced course load for up to a total of 12 months with the same form.

1.16.2.4. International students experiencing severe language difficulties may request a reduced course load for one semester only (generally, the first semester) with the same form.

1.16.3. For pre-qualifying examination residence only, a student in the Department of Chemistry who is a full-time teaching assistant (20 hours/week), will be considered to be a full-time student if he or she is taking at least 6 credit hours per semester (for credit, not audit). You still have to amass a total of 36 credits prior to the qualifying examination, but this will help you get the two consecutive semesters of full-time residence. Other graduate students are considered to be full-time if they satisfactorily complete 9 credit hours per semester. During the summer, graduate students are full-time if they satisfactorily complete 9 credit hours, 3 credits during the 4-week session and 6 credits during the 8-week session. Audited courses do not count toward these totals for residency purposes.

1.16.4. Essentially, for enrollment status, only courses taken for credit “count.” For fee payment, however, audited courses do count in your bill.

1.17. SI, U, and I Grades and Research Courses

1.17.1. All I, SI, U, and “missing” grades in CHE 780 or CHE 790 must be officially converted to regular letter grades prior to scheduling a Qualifying PhD Oral Exam or a final oral exam for the PhD or MS.

1.17.2. It is advisable to convert outstanding SI and U grades in research to regular letter grades in a
timely manner, and not let them all accumulate until a deadline approaches. Well in advance of a qualifying or final exam, the student should review his or her official transcript and inform the advisor in writing of the course number and section, semester, and number of credits for each SI and U grade in CHE 790 to be converted.

1.17.3. All SI, U, and I grades (most of which will be in CHE 790) should be converted prior to the qualifying or final exam so that the registrar will have changed the grades on the official record when the Graduate School looks up the record to schedule the exam.

1.17.4. Conversion of an SI in a research course such as CHE 790 requires a substantive written report of the work done by the student. The report may take the form of a summary of work accomplished during the semesters of CHE 790 or (a) manuscript(s) written by the student for publication.

1.17.5. A grade of B in CHE 790 can be assigned by the research advisor without a vote of the student's committee. In this case, the student must submit a copy of either the entire report or the first page to the DGS office to be included in the student's file. A copy of the report should also be distributed to each member of the student's committee.

1.17.6. Assignment of a CHE 790 grade other than B requires majority approval by the student's committee. The written report must be distributed to the committee members for review at least 4 weeks in advance of the planned exam date. The voting can be done by a paper routing sheet, email poll or a committee meeting. Documentation of the vote and a copy of the report or first page must be submitted to the DGS office at least one week in advance of the planned exam date so that the grade change can be processed in time.

1.17.7. SI and U grades cannot be changed to B grades for expediency before an examination then changed to another grade later.

1.17.8. SI, I, U, or "missing" grades in CHE 780 must be converted to regular letter grades by the Director of Graduate Studies, who is formally responsible for assigning grades in this course. Assignment of a regular grade requires the completion of a substantial written report by the student. The Director of Graduate Studies shall consult with the advisor under whose direction the work was performed and/or the student's advisory committee in determining the appropriate grade.

1.17.9. SI, I, U, or "missing" grades in regular courses must be converted by the instructor.

1.18. Special Residence and Research Credit Courses

1.18.1. CHE 767: Residence Credit for Doctor's Degree (2 cr). Each doctoral candidate who joined the program in Fall 2005 or thereafter must register for two credits of CHE 767 every semester, starting from the semester of the oral qualifying examination, until the dissertation is successfully defended.

1.18.1.1. Students normally advance-register for 2 credits of CHE 767 when they plan to take the oral qualifying examination in the upcoming semester; if the examination is postponed or is not successfully passed, the student must then substitute the 2 credits of CHE 767 with 9 credits of CHE 790.

1.18.2. CHE 769: Residence Credit for Doctor's Degree (0-12 cr). A doctoral candidate who joined the program prior to Fall 2005 and who has passed the oral qualifying examination may choose instead to register for two full-time semesters (9 credits each) of CHE 769 residence credit, followed by CHE 749 or CHE 769 for zero credits until the dissertation is completed.

1.18.2.1. Up to 3 credits of course work may be substituted in each of the two full-time semesters of CHE 769, but an absolute minimum of 6 credits of CHE 769 is required.

1.18.2.2. The semester during which the student takes the qualifying examination may be counted for
credit for dissertation study only if the date of successful passage is within six weeks (three weeks for the 8-week summer session) of the first day of classes. In this situation, students normally advance-register for 9 credits of CHE 769; if the qualifying examination is not successfully passed, the student must then substitute CHE 769 with CHE 790.

1.18.2.3. Enrollment in CHE 769 prior to successful completion of the oral qualifying examination is not permitted.

1.18.3. CHE 749: Dissertation Research (0 cr). The DGS office recommends that doctoral candidates who have completed two semesters of full-time residence credit in CHE 769 register in subsequent semesters for CHE 749 - 0 credit rather than CHE 769 - 0 credit. Students who take CHE 767 during the regular academic year should also be registered for CHE 749 - 0 credit during the summer term. Students registered for CHE 749 - 0 credit are regarded as full-time students for purposes such as deferring student loans, health insurance coverage, certifying student status to a granting agency or foreign students' visa requirements. A requirement for CHE 749 is that a student must actually be doing research or writing a dissertation at least half-time. CHE 749 may be repeated for a maximum of six semesters or the equivalent in calendar years.

1.18.4. CHE 768: Residence Credit for Master's Degree (1-6 cr). May be used by Master's level students who are writing a thesis. They may sign up for credit and pay fees. No zero credit. May be repeated up to a maximum of 12 hours.

1.18.5. CHE 748: Master's Thesis Research (0 cr). Half-time to full-time work on thesis. May be repeated to a maximum of six semesters or the equivalent in calendar years. A prerequisite is that all course work toward the degree must be completed. A requirement for CHE 748 is that a student must actually be doing research or working on a thesis at least half-time. This course is the MS equivalent of CHE 749.

1.18.6. Credit in CHE 748 and 768 cannot be counted toward the minimum 24 or 30 credits needed for the MS degree, nor toward the minimum number of credits needed at the 600 or higher level.

1.18.7. The DGS office will automatically reregister qualified students in zero-credit courses such as CHE 748, 749 or 769. If you are not already registered for one of these courses, but you want to be, consult the DGS.

1.19. University Scholars Program in Chemistry

1.19.1. The University Scholar must fulfill the appropriate requirements of this program as stated in the pertinent University of Kentucky Bulletin and the Graduate School Bulletin.

1.19.2. The Department of Chemistry offers the B.A. and B.S. options at the undergraduate level and the Plan A and Plan B options at the MS level. The University Scholar may choose to fulfill the requirements for any of the four possible combinations of these options. Exemptions from any specific Departmental course requirements will be handled in a normal fashion by a petition from the scholar and the appropriate advisor to the Undergraduate Program Committee or the Graduate Program Committee for matters pertaining to the bachelor's or master's degree, respectively.

1.19.3. After admission to the University Scholars Program, each scholar must submit a plan of study which must be approved by the Director of Graduate Studies. This plan will list all graduate-level courses to be taken for both degrees.

1.19.4. University Scholars must have an undergraduate and a graduate advisor, the undergraduate advisor shall serve as the graduate advisor until the scholar formally selects a graduate advisor.

1.19.5. Scholars pursuing the Plan A MS option must complete at least 24 credit hours of graduate-level
courses in the combined program, of which 12 credits must be at the 600 level or above. Research performed as an undergraduate under CHE 395, if taken, may not duplicate MS thesis research. Scholars pursuing the Plan B MS option must complete at least 30 credit hours of graduate-level courses in the combined program, of which 15 credit hours must be at the 600 level or above.

1.19.6. A maximum of 12 graduate credits may be used as "crossovers" for both the bachelor's and master's degrees.

1.19.7. Four "core" courses -- CHE 510 or 514, 535 or 538, 547 or 548, 626 or 623, and 550 or 552 one from each pair -- must be completed.

1.20. Professional Ethics

1.20.1. The Department of Chemistry considers graduate students to be professional scientists and scholars, and expects practices consistent with this station in life. Graduate students are expected to display the highest level of academic and professional ethics -- in courses taken, in teaching duties, and in research work. Serious breach of academic, professional, or personal ethics can be grounds for termination of a student's registration in the Chemistry graduate program, as well as of any graduate assistantship or employment. Conversely, graduate students have the right to be treated in a courteous and ethical manner, according to established procedures and policies, by the faculty and staff of the Department.

1.20.2. Information, policies, and procedures describing the academic and nonacademic relationships between students and the University are contained in the booklet Student Rights and Responsibilities which is revised annually. This booklet includes sections on cheating and plagiarism, and procedures for dealing formally with instances or suspected instances of these offenses. This is the official University statement of your procedural rights and responsibilities.

1.20.3. Academic dishonesty includes "dry-labbing" -- the deliberate falsification, fabrication, or misrepresentation of data -- in laboratory courses or in research. This is a very serious breach of professional ethics. Depending on the circumstances and where falsified data are reported, criminal and civil penalties in the U.S. legal system may also result. Laboratory notebooks are legally binding documents in many situations, and falsification of data and records is serious scientific misconduct.

1.20.4. In those courses in which there is "homework" assigned, it is a serious cheating offense to show your homework to other students or to look at the homework of other students, past or present, unless the instructor of the course has explicitly and clearly stated that this practice is permitted. If in doubt, discuss the matter with the instructor beforehand. Avoid leaving your homework lying around in the open to provide a source of temptation for your fellow students.

1.20.5. In those courses in which there is a laboratory component, it is a serious cheating offense to use the results of other students, to let other students use your results, or to collaborate in the writing of a lab report, unless the instructor of the course has explicitly and clearly stated that this practice is permitted. For example, it is common for students to be assigned to work in pairs in many laboratory courses, with the data taken common to both. In some courses, the instructor may permit or even encourage the sharing of data among a large group of students, as long as the laboratory reports are written completely independently, and so forth.

1.20.6. It is an obligation of every graduate student in the Department to report any acts of cheating or suspected cheating to the course instructor or other appropriate Department personnel. Not to report or to condone the academic dishonesty of others is also unethical behavior. Instructors and Departmental administrators will often agree to keep the source confidential in the case of reports of suspected academic dishonesty.

1.20.7. All scholarly writing, including ordinary laboratory reports, research progress reports, abstracts
and slides for professional talks, entries in research notebooks, theses and dissertations, and manuscripts for publication must be prepared with the proper observance of the procedures for scholarly quotation and attribution of wording, data, ideas, and assistance. If any sort of material is taken from the work of others, scientific professionals make every effort to properly attribute the source. Particularly in the case of extensive use of copyrighted material, special care and procedures must be taken.

1.21. Satisfactory Progress. Satisfactory progress is defined by a collection of relevant statements in this document, including sections 1.3.9, 1.6.3, 1.11.2, and 1.11.3.
GENERAL POLICIES, PROCEDURES, AND REGULATIONS

2.1. Safety

2.1.1. Federal law now requires that all laboratories that use hazardous chemicals implement a "chemical hygiene plan." The University of Kentucky has developed a "Model Chemical Hygiene Plan" which the Department and research supervisors have adapted for each laboratory in Chemistry.

2.1.1.1. The University Office responsible for monitoring compliance with all University, State, and Federal laboratory safety regulations is Environmental Health and Safety. It is composed of five sections: Radiation Safety, Occupational Health, Environmental Protection, Fire & Accident Prevention, and Hazardous Materials Management.

2.1.1.2. Environmental Health and Safety has prepared a "Laboratory Safety Manual" which should be thoroughly read and understood by all students prior to beginning laboratory research. It is contained in a teal-colored (greenish blue) 3-ring binder, a copy of which should be in every laboratory in the building. Laboratory and research supervisors are responsible for enforcing the requirements for laboratory safety.

2.1.1.3. All graduate students and all others who are employed or do research in Chemistry must attend a number of required training classes presented by the Office of Environmental Health and Safety on entry to the Department or at the start of their employment. The training requirements can be found here: https://www.as.uky.edu/training-requirements.

2.1.2. Safety Data Sheets (SDSs), which contain information on the various chemicals used in the Department, can be found online.

2.1.3. Experimental work may be carried on at any time, providing the student assumes proper responsibility for the safety of others and security of property, and exercises the judgment to be expected of a graduate chemist. A student should not do hazardous laboratory work when alone; another person should be at least within hearing distance.

2.1.4. Care must be exercised at all times to avoid fires, floods, or other occurrences that result in personal injury and/or property damage. If such an event occurs, it should be reported promptly to the Research Advisor and the College Safety Officer. Cases involving negligence will be referred to the Graduate Program Committee and/or Department Chair for consideration. A brief written report, including the time, place, materials, and persons involved, and nature of the accident must be submitted to the College Safety Officer. Fires must be reported to UKPD immediately due to emergency reporting laws. For more information on accident and fire reporting, visit http://www.as.uky.edu/accident-fire-reporting.

2.1.5. Any use of a fire extinguisher must be reported to the College Safety Officer so that it can be refilled quickly. In addition, if you see any fire extinguisher that has obviously been discharged, or had its wire seal broken, report this also. Fire extinguisher use must be reported to UKPD immediately due to emergency reporting laws. For more information on accident and fire reporting, visit http://www.as.uky.edu/accident-fire-reporting.

2.1.6. Smoking is not permitted in the Chemistry-Physics Building. In 2009, the University of Kentucky implemented a tobacco-free policy on campus grounds and parking areas. For more information on this policy, including a map of the areas that are tobacco-free, visit http://www.uky.edu/TobaccoFree/.

2.1.7. No one may eat or drink in any of the teaching or research laboratory rooms in the Building. Such may be done only in non-laboratory offices or in the Graduate Student Lounge (CP-131). A refrigerator is provided in the Lounge for storage of food.

2.1.8. Annual unannounced safety inspections of all laboratories are made. The results of each inspection are published and individuals in those areas are expected to correct immediately any unsafe
conditions that are reported.

2.1.9. For hazardous waste procedures, visit https://www.as.uky.edu/hazardous-waste-management-chemistry.

2.1.10. All students working with radioactive or x-ray sources on a regular basis should obtain radiation badges. The necessary paperwork can be obtained from the office of the College Safety Officer. Procedures for handling and working with radioactive sources can be found in the University Environmental Health and Safety Office’s Radiation Safety Manual, which can be accessed here: http://ehs.uky.edu/docs/pdf/rad_radiation_safety_manual.pdf.

2.1.11. Cylinders of compressed gases must be properly secured to laboratory walls or benches at all times. Cylinders must never be unsecured or moved without having the valve safety cap screwed on securely. Cylinders are to be moved about using cylinder carts which are available from the basement Stockroom. Empty cylinders should be checked back into the basement Stockroom and returned to the cylinder-storage building by the loading dock as soon as possible.

2.1.12. Some research laboratories have “crash panels,” thin pressboard panels in the rear of the room, to provide emergency exit from the room should the usual exit be blocked by fire. Do not block access to these panels in any way, either with furniture or by piling personal belongings in front of them. Not only do you have to be able to get out, but your neighbor might have to come through from the other side someday.

2.1.13. Teaching Assistants must keep safety utmost in mind in the Teaching Laboratories, and strictly enforce all Departmental safety practices in the courses they teach, as well as any special safety practices particular to the course at hand. The wearing of safety glasses and proper attire must be strictly enforced at all times. Failure to follow proper safety practices and regulations is grounds for dismissal as a Teaching Assistant.

2.1.13.1. Teaching Assistants will not permit strangers and others not actually registered as students in the course to enter a Teaching Laboratory without the express permission of the Instructor in the course or the Chair of the Department. [The only obvious exceptions would be bona fide University personnel about their assigned duties, such as maintenance workers. Even in this case, they must be wearing safety glasses if a lab is in progress. Be polite but firm.]

2.1.13.2 All accidents and untoward incidents that occur in a Teaching Laboratory must be promptly reported to the Instructor in charge of the course and to the College Safety Officer, preferably in writing. This is a litigious society. If for no other reason, you need to enforce safety practices strictly in order to protect yourself.

2.2. First Aid

2.2.1. First-aid supplies are available from the stockrooms, and a first-aid kit is available in the Main Office (CP-125) during business hours. A first aid kit is also available 24 hours a day in the Graduate Student Lounge (CP-131). These are meant only for the immediate treatment of only the most minor cuts, burns, headaches, and so forth. Anything other than the most minor injury should be followed up by seeking proper professional medical care.

2.2.2. In case of an emergency, an ambulance can be obtained by dialing 911 on a University phone. A charge is incurred whenever someone is taken to the hospital by ambulance, payable by the injured person. Accidents and injuries must be reported as soon as possible to the student’s Research Advisor, Course Instructor, or to the College Safety Officer.

2.2.3. For a variety of reasons, including personal liability, every accident or injury should also be reported in writing to the College Safety Officer, and the Advisor and/or Course Instructor as soon as
possible. This is particularly important in the event of an injury in a teaching laboratory.

2.2.4. For reasons of possible workers compensation benefits, if you have an accident arising from your employment as a teaching or research assistant it is important to fill out and file an Accident-Injury Report, which can be found here: http://ehs.uky.edu/ohs/accident.php.

2.3. Access and Security

2.3.1. Each student is issued an ID badge that can be programmed for access. Although the majority of the interior doors have badge access, a few rooms still require physical keys. KEYS AND BADGES ARE FOR THE STUDENT'S PERSONAL USE ONLY, AND MUST NOT BE LOANED TO ANOTHER, OR USED TO ADMIT ANY UNAUTHORIZED PERSONS TO THE BUILDING.

2.3.2. For security purposes, the Chemistry-Physics Building is locked at those times when classes are not normally in session. The Building is also locked during official University holiday periods. During the Academic Year, official hours may vary, but you can determine present policy by inquiring of a Department Manager.

2.3.2.1. Doors to the building must never be propped open. If you exit when the building is locked, please ensure that the door locks securely behind you.

2.3.3. The Department Managers are the custodians of keys and access for the Department.

2.3.3.1. After-hours access to the Chemistry-Physics Building will be granted upon entry to the Graduate Program. To request access to labs or rooms within the Chemistry Department, submit a Chemistry Department Access Request via web form (https://chem.as.uky.edu/cp-access-request).

2.3.4. KEYS MUST NOT BE DUPLICATED UNDER ANY CIRCUMSTANCES. Violation will be subject to punishment by termination of a student's graduate program in the Department of Chemistry in addition to any legal processes which may be used.

2.3.5. All thefts from Teaching or Research Laboratories or from student offices should be reported promptly to the lab supervisor, where applicable, and the College Safety Officer.

2.3.6. In case of an emergency or serious incident, UKPD can be notified by dialing 911 on a University telephone or #UKPD on a cellular phone. This will connect you directly to UKPD, who will decide if City or State emergency offices should be summoned.

2.4. Science Library

2.4.1. Chemistry resources reside in the Science Library, a branch of the University of Kentucky Library system. The Science Library is located in the King Building diagonally out the back door of the Chemistry Physics Building. The UK Wildcard ID allows individuals to check out materials from the Science Library and all other libraries on the University of Kentucky campus. For more information about the Science Library, visit their website: http://libraries.uky.edu/SciLib. Click Chemistry under "Library Links" for more details about chemistry resources.

2.4.2. Graduate students are encouraged to use the Science Library as well as the other libraries on campus. The study rooms in the Science Library provide an excellent place to study. Two of the study rooms contain overhead projectors and one is equipped with a SMART Board. The study room with the SMART Board must be reserved, whereas access to all the other study rooms is on a first-come first-served basis.

2.4.3. The University Library system contains over 3 million volumes and subscribes to over 28,000
journals. The Science Library holds over 80,000 volumes and subscribes to over 800 journals, most of which are electronic. To support Chemistry the Science Library subscribes to several databases with the major databases being SciFinder Scholar (Chemical Abstracts), Reaxys (formerly Beilstein) and Web of Science.

2.4.4 The Science Library also provides assistance with setting up interlibrary loan accounts, off-campus access of electronic databases via proxy server, and the use of laptops, tablets or other devices.

2.4.5 If you have any questions regarding library services or information resources, or if you encounter problems while accessing paper or electronic resources, contact the Chemistry Librarian, Jan Carver, at jbcarv1@email.uky.edu.

2.5. Seminars

2.5.1. All graduate students are expected to participate actively in Departmental Seminars, American Chemical Society Meetings, and Divisional Seminars. Departmental Seminars are considered to be an integral part of graduate education, and funds for them are provided by the Graduate School on the assumption that they are principally for the benefit of graduate students. Questions on Cumulative Exams, the qualifying examination, and MS and PhD final oral examinations may reflect seminar content.

2.5.2. No more than three credits of CHE 776 and other seminar and practicum courses (such as CHE 772) may be applied toward the MS degree.

2.5.3. Regardless of registration status or whether a seminar will be presented or not, full participation and attendance in at least one Divisional Seminar is required of every graduate student in residence.

2.5.4. The University provides on-line journals as well as methods for searching the literature. Students are expected to learn how to use these resources.

2.5.5. All PhD candidates must present a general Departmental Seminar on their dissertation during their final year of residence. The seminar will be scheduled as part of the regular Departmental Seminar series (currently the fall and spring semesters, Thursday or Friday afternoons at 4:00 p.m.), divisional series, or immediately before the defense. Seminars may be held during the summer term.

2.6. Departmental Committees

2.6.1. There is graduate student representation on each of the following standing committees of the Department of Chemistry: Building and Infrastructure, Graduate Program, Graduate Recruitment, Information, Publicity and Awards, Seminar, Undergraduate Program.

2.6.2. Representation implies membership, voting privileges, and responsibilities -- except in those cases when matters concerning individual students are discussed.

2.6.3. Each graduate student representative will be selected by the Chair of the Department from recommendations made by the faculty, particularly the respective Committee Chair. The students recommended for appointment are to be in good standing (GPA = 3.0 or better) and should have a minimum of two academic years of residence in the graduate program of the Department prior to their recommendation for Committee membership. Of course, recommendation implies the consent and the willingness of the individual to serve if selected.

2.7. Computer Facilities & Resources

2.7.1. The University of Kentucky Library system operates a large, well-staffed "Computer Laboratory" in
the M. I. King Library. This is a large computer cluster networked to the University mainframe computers and is open for general use by all University students.

2.7.4. An entering graduate student is normally automatically provided a computer account to use for electronic mail. Please check your email account frequently because this is the primary medium of communication both within and outside the Department.

2.7.5. The Department of Chemistry maintains several Listservs for the convenience of its faculty, staff, and students. The main advantage of these Listservs is that individuals do not have to maintain their own mailing lists to contact various groups within the Department. The Department Managers are the "owners" of the List and must approve all subscriptions to the Sublists involved.

2.7.5.1 A list of all of the Department listservs and directions for how to use them is available via SharePoint. You must have the proper linkblue credentials to access this document: http://academics.uky.edu/AS/chemistry/Departmental_Documents/Chemistry_Department_LISTSERVs.pdf.

2.7.6. Shortly after arrival, each graduate student is added to the divisional list that corresponds to his or her area of research.

2.8. Research Laboratories and Equipment

2.8.1. One mark of a well-trained scientist is respect for equipment. Students are expected to handle equipment with care and to maintain it in good condition at all times. Charges may be assessed for extraordinary wear or damage resulting from carelessness. Special items relating to the use and care of equipment will be found elsewhere in this brochure.

2.8.2. Every graduate student is expected to maintain his research area and study desk with its surroundings as neat and orderly as is consistent with effective work. The College Safety Officer makes periodic safety inspections. All graduate students are expected to cooperate with this staff member and to follow suggestions promptly.

2.8.3. Research and certain course instruments may be used only by students who have been checked out on them by the faculty members or staff in charge of those instruments. Where there are instrument logs, proper entries about each use must be made. Failure to do so will result in denial of the privilege of using the instrument, and possibly other sanctions. All common work areas must be left clean for other users.

2.8.4. All laboratory apparatus built into more or less permanent equipment by a student must be listed by the Principal Investigator.

2.8.5. Research Advisors will aid you in obtaining laboratory supplies. This also applies to any services and material provided by other Campus agencies.

2.8.6. All containers placed in refrigerators must be labeled as to owner, material contained, date, and a laboratory notebook page reference if appropriate. Do not abandon materials in these places as their eventual disposition may represent a hazard. Containers of volatile materials must be stoppered. Do not place chemicals and food in the same refrigerator. Lunches can be stored in the refrigerator in the Graduate Student Lounge, CP-131.

2.8.7. CONDENSER TUBING MUST BE WIRED ON. All reasonable steps must be taken to prevent fires and floods. Water, gas, electricity, and house nitrogen should be turned off when not needed. Experiments are not to be left unattended.

2.8.8. The ventilating system in this building is constructed to operate best when the doors to large rooms are kept closed. We ask, therefore, that doors to teaching and research laboratories be kept

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closed as much as possible. Instructors are expected to insist upon students closing laboratory doors after passing through them. Classroom doors should also be kept closed during the class periods and most of the time between periods.

2.8.9. The current hood ventilation system is designed to draw substantially more air when the hood door is opened. In order to save on energy costs, therefore, the hood door should be left open only about one inch when the hood is not actually in use. Please report all hood malfunctions to the Principal Investigator in the research labs or the Course Supervisor for hoods in the teaching labs.

2.8.10. Each student's name and semester schedule should be posted on the door of his research laboratory or office.

2.8.11. Laboratory doors should be kept locked when no one is in the room.

2.9. Other Services

2.9.1. Glassblowing, electronic repair services and NMR analyses are available within the Department of Chemistry. Appropriate forms may be obtained at the relevant facility.

2.9.2. Machine shop services are available from the Department of Physics. A form signed by your Advisor must be submitted to obtain these services. The appropriate forms required by Physics must also be filled out.

2.9.3. Graduate students may use the copy machines in the Main Office to duplicate teaching or research related materials.

2.10. Travel, Absences, and Vacations

2.10.1. Doctoral students who will present papers at national and regional meetings on results of their research can apply for reimbursement of travel expenses to the Dean of the Graduate School. There is an extremely limited budget for this most years. For more information, visit http://www.research.uky.edu/gs/StudentFunding/student-travel-funding.html.

2.10.2. The Department of Chemistry is able to provide a limited amount of travel support for graduate students who will be presenting papers at professional meetings beyond what the Graduate School provides. If you are planning such a trip, you may contact the College Integrated Business Unit or the Director of Graduate Studies to request Departmental funding.

2.10.6. Students who are supported by their Advisors on Research Assistantships must negotiate vacation leave with their Advisors.

2.10.7. If any planned absence takes you away from your duties as a Teaching Assistant, you are required to complete TA Leave Request form to obtain prior approval from your TA Supervisor. Submit the completed form to one of the Department Managers before you leave.

2.11. Telephone Service

2.11.1. A number of Research Advisors have made telephones, or an extension phone, available for their research groups in their labs.

2.11.2. In the event of an emergency an incoming call for a graduate student may be made through the Main Office and an attempt will be made to locate the person being called. Other business or
non-personal phone calls for graduate students may be made through the Main Office if they are of such nature that a message can be left in the person's mailbox asking them to return the call.

2.11.3. Personal long-distance telephone calls must not be made on Departmental telephones.

2.12. Alumni

2.12.1. The Department maintains a file in which are listed the current addresses and positions of its graduates. It is desirable to list other information of general interest also. The Department does everything within its power to keep these data current but the records can be complete only if each graduate will notify the Department of changes in address and position.

2.12.2. Approximately once a year, in the Spring, the Department publishes a Departmental Newsletter that contains information about our Department and our graduates. After you leave, please send us information about yourself periodically for inclusion. We in the Department and other alumni are interested in hearing about the great things you have accomplished.

2.12.3. Several Departmental funds directly support the Graduate Program. Graduate Student Outstanding Teaching Assistant and Research awards, the 100% Plus Award, the "Fast Start" Award, Thomas B. Nantz Memorial Scholarships and the Naff Symposium are all supported by donations from generous graduates and friends of the Department. Current students profit when our alumni contribute to these funds.

2.13. Parking Permits

2.13.1. All graduate students who hold Teaching or Research Assistantships are eligible for E (employee) parking permits for "preferred" on-campus parking. Graduate students who live in University Housing are eligible for R (Resident) permits. If you both live in University Housing and are a Graduate Assistant, you may not have both an E and an R permit, only one.

2.13.2. Illegal parking on the campus (refer to the regulations provided with the parking permits) may result in violation tickets and/or towing of the vehicle in violation. Vehicles parked in a Handicapped area or along yellow-painted curbing (Fire Lane) are subject to immediate towing and impoundment. There is a substantial towing charge to get your vehicle back, plus the cost of the parking ticket itself. Note that the loading dock area behind the Chemistry-Physics Building is not a legal parking area.

2.13.3 For complete information about campus parking, visit http://www.uky.edu/pts/.

2.14. Mail and Notices

2.14.1. Each student is provided a mailbox. The mailboxes are located in CP-125 and can be accessed from inside the Main Office during business hours. For after-hours access, the individual lock combination is available upon request.

2.14.2. Please check your mailbox at least twice per week for mail, notices, etc.

2.14.3. Any changes pertinent to the graduate program which occur during the year due to faculty action will be communicated promptly to all graduate students by email.

2.14.4. Email has become the primary mode of communication within the Department and elsewhere. The Director of Graduate Studies uses this extensively to provide information and notices to students. You are expected to check your email account frequently.
2.15. **Tuition and Fees**

2.15.1. Most graduate students are research or teaching assistants. The University deducts the tuition of research assistants from the grant from which they are paid. The College directly pays the tuition of teaching assistants in good academic standing.

2.15.1.1. If a CHE 767 student is a research assistant in the semester that he or she is defending, and the student ends employment with the University before four months have elapsed during that semester, the University will bill the student directly for that semester’s tuition. (Teaching assistants will not be in this situation, because they will not end their employment before the end of the semester.) The student is responsible for the bill, but the student may ask his or her advisor to pay it from a grant. It is entirely within the discretion of an advisor to agree or refuse to pay the bill. Under no circumstances will the Department pay the bill.

2.15.2. Tuition payments are processed by the University's office of Student Account Services. For a complete listing of payment options and deadlines, please visit their website: [http://www.uky.edu/studentaccount/](http://www.uky.edu/studentaccount/).

2.15.3. In addition to tuition, there is a mandatory student health fee, which provides use of the Student Health Service. The fee is optional for the summer, but if it is not paid, students will be charged on a per-visit basis. There is also a small, mandatory fee for “recreation”. This fee carries over to summer, so there is no additional charge.

2.16. **Registration**

2.16.1. Advance Registration, Registration, and Add/Drop are now handled on the University's myUK system, which is accessed online. Full instructions and registration windows for each term are available on the Registrar's website. These rules should be consulted carefully.

2.16.2. All continuing students are expected to advance register each semester for the next semester. New students and readmitted students are assigned specific registration windows. Any students who register after their regular pre-registration (continuing students) or registration window (new and readmitted students) will be charged a late registration fee.

2.17. **Stipends, Scholarships, and Fellowships**

2.17.1. Teaching and Research Assistants in the Department are normally paid biweekly. All new graduate students must arrange to have their pay automatically deposited in their bank accounts. You should set up a bank account as soon as possible and obtain a deposit slip with your account number printed on it.

2.17.2. The majority of Teaching and Research Assistants receive scholarships that cover the full cost of graduate tuition. Other fees, including the Student Health Service fee and the Recreation Fee, are not covered.

2.17.3. To be eligible for a Tuition Scholarship, you must be a regular graduate student enrolled in a degree program, that is, not a Post-Baccalaureate Student, and you must not be on academic probation. Three times a year, the Director of Graduate Studies provides a listing of eligible students in the Department to the Graduate School. You generally need do nothing extra to be awarded these Tuition Scholarships, and your billing account is normally credited with the proper amount shortly after the term starts. Mistakes sometimes happen, so do not ignore dunning notices from Student Billings threatening to cancel your registration for non-payment of fees.

2.17.4. Whenever you ADD or DROP classes such that there will be a change in your tuition fees, you
must inform the Graduate School of this fact so that the proper correction can be made to your account.

2.17.5. The best information the University has is that these "Tuition Scholarships," because they are called "scholarships," are for tuition only, and there are standards for their being awarded, are exempt from income tax at the Federal and State level. However, should a dispute arise between you and the Internal Revenue Service over this matter, you must work this out with them. We can do very little to help you with the IRS.

2.17.6. The Department of Chemistry also provides Thomas B. Nantz Memorial Scholarships in Chemistry from a fund generously provided by Mary Halley Nantz in memory of her husband. These provide for a maximum of two scholarships per year, and are for tuition only. Junior and Senior undergraduate chemistry majors and chemistry graduate students are eligible for these awards. Usually, announcement of application for these awards is made some time in March of each year, and the Scholarships are awarded at the end of April for the upcoming academic year.

2.18. Graduate Student Association

2.18.1. ChemGSA (Chemistry Graduate Student Association) is a group open to all graduate students. The association is governed by five student officers who are elected each spring. These officers represent the graduate student body, acting as a bridge between students, faculty, and staff. ChemGSA addresses any questions and concerns from the students which may arise, and is involved in many Departmental activities. The association also organizes social events which bring the students together for a break from their sometimes hectic schedules. It is the goal of ChemGSA to create an environment within the Department which is friendly, and enriches the experience of the graduate students while completing their studies at the University of Kentucky.

2.18.2. The current officers are listed on the Department’s website at http://chem.as.uky.edu/chemistry-graduate-student-association.

2.19. Leaving the University

2.19.1. At least three days should be allowed for the overall process of checking out of the Department. You must obtain the proper signatures on the Final Separation Form, which can be accessed via the Facilities & Resources page of the Department’s website (http://chem.as.uky.edu/chem-facilities-resources). The completed form must be returned to a Department Manager before your final day on campus. In some cases, you may be required to complete a separation form with the University, as well.

2.19.2. Please see Final Separation Form, noted in 2.19.1, regarding clean-up of chemicals and apparatus in your possession not built into equipment for continuing use, clearing your account with the stockroom, and seeing to the proper identification and disposal of all hazardous waste and unlabeled bottles of chemicals for which they are responsible.
3. GRADUATE ASSISTANTSHIPS AND FELLOWSHIPS

3.1. Appointments

3.1.1. Most graduate appointments, teaching and research, are provided on a 5-month basis. Most commonly, this consists of sequential 5-month appointments as a Teaching or Research Assistantship during the academic-year, and a two-month summer appointment.

3.1.2. Departmental support for a graduate student in the MS program will not be provided after three years of residence. University Administrative Regulations (AR 5.2-II-C) specify that “Teaching and research assistants who are candidates for a Master's degree shall serve no more than a maximum of three (3) years without completion of their degree requirements.”

3.1.4. Departmental support for a graduate student in the PhD program will not normally be provided after five years of residence.

3.1.5. Graduate Teaching and Research Assistants shall maintain satisfactory academic records and progress toward degrees; their assistantships shall not be renewed if their academic progress is unsatisfactory. (University AR 5.2-II-E)

3.1.6. Teaching and Research Assistants shall be notified by March 1 whether or not their appointments will be renewed for the coming academic year. If a final decision on the reappointment cannot be made by March 1, they shall be provided an explanation and informed as to when they might expect to be notified and any conditions necessary for continuation of support. (University AR 5.2-III-C)

3.1.7. New Teaching and Research Assistants, as well as all other University employees, must process immediately on arrival an I-9 form at the UK Human Resources Office, 115 Scovell Hall. You must bring with you official documentation proving your identity and your legal right to be employed in the States. For more information on documentation requirements, visit http://www.uky.edu/hr/employment/new-employees/i-9-form-documentation-requirements.

3.1.8. It is the student's responsibility to keep the I-9 form up to date. Sometimes, particularly in the case of International Students, the I-9 is valid only for a fixed period of time. You must get it renewed before it expires. If it expires, and there is a lapse of time before you get it renewed, the University will refuse to pay you your stipend for the time that it was expired. You will lose money. This is not the Department's doing, and we cannot help you if you let your I-9 expire.

3.1.9. Teaching and Research Assistants should be familiar with the following sources of information relative to their rights and responsibilities:

- University of Kentucky Graduate School Bulletin: http://www.research.uky.edu/gs/CurrentStudents/bulletin.html
- University of Kentucky Student Rights and Responsibilities handbook: http://www.uky.edu/StudentAffairs/code/
- "Policies Relative to Teaching and Research Assistants" (Administrative Regulation 5.2)
- "Policies on International Teaching Assistants" (Administrative Regulation 5.3)

3.2. Teaching Assistantships

3.2.1. Teaching Assistants who are also full-time graduate students are assigned responsibilities requiring no more than fifty percent of their time. Thus a regular “full-time” Teaching Assistantship would mean service for not more than an average of 20 hours per week, including time spent in preparation, proctoring exams, classroom and laboratory teaching, grading papers, counseling students, or in any combination of those activities in which teachers are customarily engaged.
3.2.2. Because the Departmental laboratory teaching load varies from semester to semester, some Teaching Assistants are assigned lighter than normal loads for one semester, balanced by a heavier loads the next semester, or vice versa. No system is perfect, but every effort is made to avoid gross disparities in load over the academic year for Teaching Assistants.

3.2.3. New graduate Teaching Assistants must arrive on campus about two weeks prior to the beginning of their first semester of graduate work for Departmental and University Orientation Programs. The University now conducts an extensive orientation and teacher-training program for all new Teaching Assistants.

3.2.4. In addition to the University orientation program, International Teaching Assistants are required to participate in a teaching simulation designed to assess English-language communication abilities. The International Center (UKIC) also conducts a full-day orientation for all new international students to acquaint them with the University, American cultural practices, and academic habits.

3.2.5. All new Teaching Assistants must register for and participate fully in CHE 772 "Seminar in Chemistry Instruction" during their first semester of teaching. This is a one-credit seminar-recitation course intended to familiarize new Chemistry Teaching Assistants with important information and practices relevant to effective teaching in undergraduate laboratory courses. Credit for this course can be used with those from other seminar and colloquium courses for residence purposes and toward the minimum number of graduate credits for the MS (maximum of 3 credits total for the latter).

3.2.6. One of the principal aims of the Departmental Orientation Program is to train new Teaching Assistants in their specific teaching duties in the Department. Classes are held, and the students perform certain of the general chemistry laboratory experiments, write typical reports, conduct experimental recitation sections, and so forth.

3.2.7. During each semester all of the Teaching Assistants for any one course typically meet each week with the lecturers and Laboratory Supervisors for continuing instruction and discussion of the current topics and experiments. Teaching Assistants should check with the Instructor in charge of the course in which they are assisting at least a week before it is scheduled to begin to see if the Instructor needs assistance in preparing for the course.

3.2.8. In order to instruct others properly, you must first thoroughly understand the material yourself. Thorough preparation for each laboratory period is required. The Course Instructor may hold a brief meeting each week at which the most important points are discussed. The Teaching Assistant should not stop at this, but should endeavor by personal efforts also to obtain a thorough understanding and organization of the material.

3.2.9. The Teaching Assistant's personal appearance and hygiene is a matter of considerable importance. Appropriate dress and good grooming is expected. If you look presentable and professional, your students are much more likely to respect and listen to you. The Department provides Teaching Assistants with protective lab coats which should be worn at all times in the Teaching Laboratory.

3.2.10. A Teaching Assistant is expected to be present in the laboratory or classroom at least 5 to 10 minutes prior to the scheduled start of the class in order to be prepared to start on time.

3.2.11. Most effective laboratory teaching is done at the students' elbows, by patient, considerate, persistent effort to help them help themselves. This requires that the Teaching Assistant circulate among the students, questioning, discussing, explaining, and demonstrating. Thus, the person in charge of a laboratory section should be circulating among the students during the entire laboratory period.

3.2.12. The Assistant will stay on duty throughout the laboratory period. During the laboratory period, the Assistant should not correct or grade papers or notebooks other than those which pertain to the particular laboratory exercise or exercises being performed.
3.2.13. While they are in the laboratory, Teaching Assistants are custodians of State equipment. A section of the General Chemistry Laboratory Manual explains what is expected of the students in the laboratory. Instructors are to be familiar with this and see that their students abide by it.

3.2.14. The Teaching Assistant in charge of a laboratory section is responsible for the condition in which the area is left. It is not the job of the Stockroom personnel, nor of the faculty and staff members in charge of the lab, to clean up. The Teaching Assistant should see that students place material to be discarded in the correct waste containers, clean the area in which they have been working, participate in cleaning up common areas, and leave the laboratory in good condition. The more that the Teaching Assistant encourages and insists upon neat laboratory practice and cleaning up from the students in the laboratory, the less work that Assistant will have to do.

3.2.15. The Teaching Assistant is responsible for seeing that the laboratory is properly cleaned, closed, and locked at the end of a laboratory session, and that the hood doors have been closed to within one inch of the sash.

3.2.16. All staff members should be continually sensitive to fire and personal hazards. They should know where fire extinguishers, fire blankets, first-aid cabinets, safety showers, eye-wash stations, and the like are located and be ready to act quickly and effectively in case of an emergency. Safety glasses may be obtained at the stockroom.

3.2.17. Only those Assistants who have definite assignments in stockrooms are permitted to be in the stockrooms -- and then only at the times and place specified.

3.2.18. Teaching Assistants are not permitted to tutor for pay a student in any section in which they have responsibility in connection with their regular duties. Help given to students in one’s own section(s) must be considered as part of one’s teaching assignment in the Department. Tutoring your own students for pay is perceived as a conflict of interest and of questionable professional ethics.

3.2.19. Teaching Assistants must not date, attempt to date, or excessively fraternize with students currently enrolled in a class they are teaching. This is a serious breach of professional ethics, and subject to severe sanctions including immediate termination of the Assistantship. The Department will simply not tolerate this. Keep your behavior on a professional level at all times with your current students. In these times, Teaching Assistants do need to be sensitive to behavior that can be perceived or perhaps misconstrued as "sexual harassment."

3.2.20. The Department furnishes basic office supplies for graduate students. Paper used for examinations by students in a Teaching Assistant’s classes is furnished by the Department. Assistants are not authorized to use the Departmental letterhead stationery; it is to be used by members of the regular staff only.

3.3. Research Assistantships

3.3.1. New Research Assistants (a rarity) report to the campus about ten days prior to the beginning of the first semester of graduate work for the Departmental Orientation Program, but they are not required to participate in the University and Departmental teacher-training activities.

3.3.2. Research Assistants are employed by a number of the faculty on sponsored research projects. Since the specific requirements and availability of these Assistantships are varied, details and means of application are best determined through inquiry and discussions with your Advisor. The responsibilities of Research Assistants will vary with the fraction of time for which they are employed, but normally a "full-time" appointment should require no more than twenty hours per week of specified duties for those who are also full-time graduate students.

3.3.3. Faculty with external grant support are expected to make every effort to support their own
graduate students during the Summer.

3.3.4. Summer Departmental Research Assistantships are only rarely available for graduate students not supported by other means. Please contact the DGS for more information.

3.3.5. The money available for summer Departmental support (in any form) is very limited. Students with GPAs below 3.0, or who are otherwise not making "normal progress" towards their degree, will not be supported.

3.4. Fellowships

3.4.1. Fellowships are available to qualified graduate students making satisfactory progress towards their advanced degrees. Among these are various fellowships from the Graduate School. For more information and to check on current opportunities, consult the Graduate School’s website (http://www.gradschool.uky.edu/StudentFunding/fellowships.html).

3.4.2. The Graduate Program Committee is charged with selecting the students to be nominated by the Department for various Fellowships.

3.4.3. Fellowship applications are to be discussed with your Advisor prior to submission of any request.

3.4.5. Upon award of a Fellowship, it is desirable that a formal letter of acceptance (if such be the case) be sent in reply to the offer. The Graduate School supplies acceptance forms. A copy of this letter should be given to the Director of Graduate Studies.

3.5. Performance Evaluation

3.5.1. The Graduate Program Committee keeps track of every graduate student’s teaching performance as well as their overall academic progress. Continuation of Departmental financial support and continuation in the graduate program are contingent upon satisfactory progress.

3.6. Other Employment

3.6.1. For graduate Teaching or Research Assistants, employment outside of the Department, except for modest amounts of tutoring, is specifically forbidden without the express prior permission of the Chair of the Department as well as the Assistant's Research Advisor. Although the Summer Departmental Research Assistantships sometimes do not carry a very large stipend, if you accept one, then the prohibition on outside employment is still in effect.

3.6.2. International Students are advised that securing employment outside the University of Kentucky of any kind can seriously jeopardize their visa status and possibly make them liable for deportation.

3.6.3. Graduate School Fellowship holders should contact the Graduate School if they have any questions concerning limitations on outside or supplementary employment. Many external fellowships and traineeships also have limitations on and required approval procedures for supplemental income; check with the funding agency about these.

3.7. Tax Information

3.7.1. The best information the University has at this time is that all stipends -- including, but not limited to, Research or Teaching Assistantships, Fellowships, and Traineeships -- are regarded by the Internal Revenue Service as taxable income. This is true whether or not you are required to perform any services
for this money or whether the services you perform are required for your degree.

3.7.2. On first arrival, all Teaching and Research Assistants must acquire an I-9 from UKHR, complete a W-4 tax withholding form, and complete a direct deposit form. These processes are handled by A&S Payroll. If there is a change in your financial status which affects your withholding liability, you need to process a new W-4 form which can be done through myUK after initial setup.

3.7.3. A number of foreign countries, including China, Korea, and Poland, for example, have reciprocal tax agreements with the United States which provide for some amount of your yearly stipend not to be taxed. These treaties change from time to time, and it is the student's responsibility to follow current IRS regulations in tax matters. If you feel that this applies to you, you must negotiate directly with the UK Payroll Office.

3.7.4. The University may not withhold Federal and State tax money from Fellowships awarded to domestic students. They may withhold money on Fellowships awarded to Foreign students. Please be advised that all "Fellowship" money is fully taxable income, regardless of whether or not withholding is taken from the stipend.

3.7.5. The University will deduct FICA tax from graduate students' salaries unless they are also officially "full-time students." For exemption from FICA, you must maintain full-time student status each term to continue to be exempt. If you drop from full- to part-time status during a term, then withholding of these taxes will begin.

3.7.6. The University will deduct city and occupational taxes from graduate students' salaries unless they are exempted based on the "Royster Memo" which states that a student must be in a job affiliated with his/her degree.

3.7.6.1. Tax exemptions will require completion and signature of an exemption form. More information will be provided by a Department Manager each semester.

4. OTHER UNIVERSITY INFORMATION

4.1. Federal Credit Union

4.1.1. The University of Kentucky Federal Credit Union offers membership to employees and their immediate families. Graduate Students who receive financial support from the University are eligible for membership after providing proof of employment, such as their offer letter.

4.1.2. The Credit Union is located at 1080 Export Street, off of Virginia Avenue near Broadway. A campus branch office is located in the Student Center. The services offered by the Credit Union include share (savings) and share draft (checking) accounts, direct deposit (except fellowships), credit cards, automatic teller cards, traveler's checks, and reduced price tickets to some amusement parks such as King's Island, north of Cincinnati. Other services are offered and can be explained in detail by the New Member Coordinator at the Credit Union.

4.2. Student Center

4.2.1. The Student Center consists of two buildings on the north end of the Campus. It houses a number of facilities which are intended to aid the student. Besides a cafeteria and grill, meeting rooms, television lounges and a music listening room, the Student Center contains the University Bookstore where books for courses offered through the Central Campus can be purchased. There is a game room on the first floor of the Student Center with a variety of games. Movies are shown in the Worsham Theater on the first floor of the Center throughout the school year. For more information, visit
http://www.uky.edu/studentcenter/

4.2.2. The University Bookstore in the Student Center offers a 10% discount to all who are currently listed as the instructor of a course offered by the University. In order to receive this discount, Teaching Assistants should present a valid student ID. Contact the Bookstore if you have questions about this and other promotions.

4.3 Amusements

4.3.1. The University of Kentucky provides facilities for student use for several sports including basketball, volleyball, weight conditioning, racquetball, squash, tennis, football, softball, soccer, and swimming. Facilities include the Seaton Center, Alumni Gym, Memorial Coliseum, and several outdoor fields and courts. Additional information can be found on the Campus Recreation website: http://www.uky.edu/studentaffairs/CampusRec.

4.3.2. Graduate students can get tickets for various intercollegiate athletic events. For more information, visit http://www.ukathletics.com/.

4.3.3. Tickets for concerts, lectures, and other events sponsored by the Student Activities Board go on sale approximately two weeks before the date of the event and may be purchased in the Student Center Ticket Office, Room 203 Student Center.

4.3.4. The Singletary Center for the Arts sponsors the Signature Series, a series of concerts by acclaimed musicians. Students may purchase series tickets or single concert tickets at a reduced price at the ticket office in the Center.

4.4 Housing

4.4.1. Married and Graduate Student Housing Office operates over 700 apartments for full-time single graduate students and student families. Because there is usually a waiting list for these apartments, particularly at the start of Fall Term, arrangements should be made well in advance. Please see http://www.uky.edu/Housing/graduate/ for more information.

4.4.2. The Off-Campus Housing Office maintains an up-to-date listing of rooms and apartments available in the Lexington area on its website--http://offcampushousing.uky.edu/. Annually, they publish a list of major apartment complexes in Lexington. These lists may be obtained by writing: Off-Campus Housing, University of Kentucky, Lexington, KY 40506.
4.5. Medical Care

4.5.1. Full-time Lexington-campus students are eligible to use the University Health Service and enroll in the Student Group Insurance Plan. Part-time students are eligible to use the Student Health Service and pay the Health Fee, or may use the Health Service on a fee-for-service basis, as with any other medical provider. The Health Fee is a voluntary, additional charge for the summer sessions.

4.5.2. Spouses of eligible students who are not students themselves are welcome at the Health Service. They may use it as they would any other medical facility. They are charged for all services rendered.

4.5.3. For more information about the University Health Service, including directions to the facilities, services offered, and hours of operation, visit http://ukhealthcare.uky.edu/uhs/.

4.5.6. It is very important that graduate students understand that the Health Fee required of all full-time students does not cover the cost of hospitalization, accident care, or surgery. It covers only certain routine "illnesses," some first-aid and emergency care, and mental health services. The University assumes that students are either covered by their parents' plan or have made arrangements to have their own insurance policies. As of Fall 1999, degree-seeking, graduate students who hold full assistantships or are full institutional fellowship holders, are automatically enrolled in the health insurance plan offered by the University of Kentucky. If you have questions about your eligibility to be covered under this plan, consult the Health Insurance Coverage for Graduate Students page on the Graduate School's website.

4.5.7. Students must have some form of medical insurance. A single serious illness or accident can mean financial ruin for a student and the student's family, as well as possible legal action if the student is unable to pay the bills incurred. Many hospitals will simply refuse to treat you if you are not covered by health insurance. The Department of Chemistry requires that all graduate students who are working in the Building, including all Teaching and Research Assistants, must be covered by some form of health insurance.

4.5.8. All international students are required by the University to carry medical insurance. Information about special health insurance plans for international students are also available through the Graduate School's website. International Students need to be aware that neither the Federal nor the State governments in the U.S. provide free medical care to students. Unless you are covered by some appropriate medical insurance, you are liable for all the costs incurred in routine and extraordinary medical care. Failure to pay medical bills may well affect your visa status in this country.
APPENDICES

Appendix A. Checklist for the MS -- Plan A
Appendix B. Checklist for the MS -- Plan B
Appendix C. Checklist for the PhD
Appendix D. Divisional Guidelines for Cumulative Exams
Appendix E. Classification of Advanced Courses
Appendix F. Policy on participation in CHE 776 Seminar Courses
APPENDIX A.

CHECKLIST FOR THE MASTER OF SCIENCE DEGREE -- PLAN A (THESIS MASTER’S)
Version 5.2, July 2014

Please note that this checklist is a shortened version of the requirements for the Master’s degree. See the relevant sections of the Handbook for Graduate Students and the Graduate School Bulletin for a complete discussion of the requirements.

___ I. CORE COURSES (Select one each from 4 of the 5 pairs below. Students who entered the program prior to the Fall 2014 semester must select one course from each of the two areas where the lowest proficiency examination scores were obtained. Must be completed or bypassed within 2 years.)

Analytical: CHE 626 or CHE 623 __________ Biological: CHE 550 or CHE 552 __________
Inorganic: CHE 510 or CHE 514 __________ Organic: CHE 538 or CHE 535 __________
Physical: CHE 547 or CHE 548 __________

___ II. TOTAL CREDITS (Minimum of 24 credits of graduate course work, GPA of 3.0 or higher, maximum of three credits of seminar, colloquium, or practicum courses. CHE 790, 748, and 768 may not be used, but up to a maximum of 8 credits of CHE 780 may be used. However, research in CHE 780 may not duplicate thesis work. Courses designated 400G or above in a department other than chemistry are graduate courses. Note the letter G must appear after the number for graduate credit.)

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Total Credits: ______________________ Total Quality Points: ______________________ GPA: ______________________

___ III. CHEMISTRY COURSES (Minimum of 16 hours in 500-, 600-, or 700-level chemistry courses, not including CHE 790, 748, 768.)

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___ IV. UPPER-LEVEL COURSES (Minimum of 12 hours in 600- or 700-level courses; CHE 790 may be used here, but not in II. above.)

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V. GRADUATE RECORD EXAM (Submission of official GRE general exam scores must be done during first semester if not done prior to admission to Graduate School.)

Semester ______________  Already submitted ______________

VI. SELECTION OF RESEARCH ADVISOR (Complete Research Advisor Interviews form.)

Date of final sign-off by DGS ______________

VII. FORMATION OF ADVISORY COMMITTEE (Chair or co-chair must be full member of the Graduate Faculty; one member must be outside the area of specialization; minimum of 3 members. Must be done before obtaining any summer RA support.)

Date ______________  Members _________________________

VIII. APPLICATION FOR DEGREE (Submit via myUK by about Feb. 10, June 20, Sept. 20, for May, August, December degree.)

IX. FINAL CHECK OF GRADES (Secure official transcript to check all grades and courses. Have all S and I grades (except for any residence courses) converted to regular, letter grades. Minimum 45-60 days in advance.)

Date __________

X. FINAL EXAMINATION (To be given not later than 8 days before the last day of classes of the semester in which the degree is to be awarded. Must be scheduled with the Graduate School at least two weeks ahead of time. Can have no outstanding I or S grades.)

Date of Master's/Specialist Final Examination form __________

Date of Final MS Examination __________

XI. SUBMISSION OF MS THESIS (Final, corrected, checked, and accepted copy must be submitted to the Graduate School within 60 days of date of final examination.)

Date Thesis Submitted and Accepted ______________________________

XII. DEPARTMENTAL CHECK-OUT (Complete Final Separation form.)

Date________
APPENDIX B.

CHECKLIST FOR THE MASTER'S DEGREE -- PLAN B (Coursework Master's)
Version 6.1, July 2014

Please note that this checklist is a shortened version of the requirements for the Master's degree. See the relevant sections of the Handbook for Graduate Students and the Graduate School Bulletin for a complete discussion of the requirements.

___ I. **CORE COURSES** (Select one each from 4 of the 5 pairs below. Students who entered the program prior to the Fall 2014 semester must select one course from each of the two areas where the lowest proficiency examination scores were obtained. Must be completed or bypassed within 2 years, B or better in at least 3 courses.)

Analytical: CHE 626 or CHE 623  __________ Biological: CHE 550 or CHE 552  __________
Inorganic: CHE 510 or CHE 514  __________ Organic: CHE 538 or CHE 535  __________
Physical: CHE 547 or CHE 548  __________

___ II. **EXTRADEPARTMENTAL COURSES** (6 or more credits relevant to career goals; need approval by Graduate Program Committee, but need not be graduate-level courses.)

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___ III. **TOTAL CREDITS** (Minimum of 30 credits of regular graduate courses; overall GPA of 3.0 or higher; maximum of three credits of seminar, colloquium, or practicum courses; cannot use CHE 790, 748, or 768).

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Total Credits:  
Total Quality Points:  
GPA:

___ IV. **ADDITIONAL CHEMISTRY COURSES** (Beyond the core courses in I. above. One additional course in each of three of the following: analytical/radiochemistry, biological, inorganic, organic, and physical chemistry. Only courses having two or more credits may be counted. CHE 780, 748, 768, and 790 cannot be used.)

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V. UPPER-LEVEL COURSES  (Minimum of 15 hours in 600- or 700-level courses; can use CHE 790 here, but not in III or IV . above. At least 12 hours must be in CHE courses).

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VI. APPROVAL OF COURSES  (Petition to Graduate Program Committee to approve complete program of courses for degree.)

VII. FORMATION OF ADVISORY COMMITTEE  (Chair or co-chair must be full member of the Graduate Faculty; one member must be outside the area of specialization; minimum of 3 members.)

Date _____________________ Members _________________________
_________________________
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VIII. APPLICATION FOR DEGREE  (Submit via myUK by about Feb. 10, June 20, Sept. 20, for May, August, December degree.)

IX. FINAL EXAMINATION  (To be given not later than 8 days before the last day of classes of the semester in which the degree is to be awarded. Must be scheduled with the Graduate School at least two weeks ahead of time. Can have no outstanding I or S grades.)

Date of Master's/Specialist Final Examination form __________
Date of Final MS Examination __________

X. DEPARTMENTAL CHECK-OUT  (Complete Final Separation form.)

Date_______
Please note that this checklist is a shortened version of the requirements for the PhD degree. See the relevant sections of the Handbook for Graduate Students and the Graduate School Bulletin for a complete discussion of the requirements.

I. COURSES (Course work completed within 5 semesters. All course work approved by Advisory Committee.)

   a. Chemistry Core Courses (Select one each from 4 of the 5 pairs below. Students who entered the program prior to the Fall 2014 semester must select one course from each of the two areas where the lowest proficiency examination scores were obtained. Must be completed or bypassed within 2 years, B or better in at least 3 courses.)

   Analytical: CHE 626 or CHE 623 __________ Biological: CHE 550 or CHE 552 __________
   Inorganic: CHE 510 or CHE 514 __________ Organic: CHE 538 or CHE 535 __________
   Physical: CHE 547 or CHE 548 __________

   b. Advanced or Specialty Courses (Minimum 8 credits in regular, graduate-level Chemistry courses)

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   c. Out-of-Area Courses (Minimum of 3 hours, must be out of Department, or CHE but out of student's area of concentration, CHE 532, CHE 533, research, seminar courses do not count, plan requires approval of advisory committee.)

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II. TEACHING REQUIREMENT (Minimum of one semester, one-quarter time.)

   Semester __________

III. CUMULATIVE EXAMINATIONS (Minimum 8 points required in 16 exams in first 4 semesters; maximum of four 1's. Total points and distribution among areas must be approved by advisory committee.)

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   Semester Passed:
IV. SELECTION OF RESEARCH ADVISOR  (Complete Research Advisor Interviews form.)

Date of final sign-off by DGS ________________

V. ADVISORY COMMITTEE  (Minimum of 4 "core" members, who must be on the Graduate Faculty; 3 must be "full" members. Formed by end of 2nd semester and before obtaining any summer RA support.)

____________________ _____________________
____________________ _____________________
____________________ _____________________

Date formed _______

VI. PRE-QUALIFYING-EXAM RESIDENCY  (Two "years" of residence as defined by credits. "Year" does not necessarily mean temporal year of student career. Graduate audits "count" toward making semesters "full-time" for Year 2, but not toward the 36 credit minimum total. S grades do not count anywhere. After a student joins a group they register for CHE 790 to make 9 hours/semester in order to obtain 36 credits in reasonable time.)

___ A. Year 1: 18 Graded graduate hours at UK (or Master's or transfer of one year of residency credit from an awarded MS at another accredited school (by petition).

___ B. Year 2: Two consecutive semesters enrolled full-time (9 or more graduate credits per semester), may include the summer session;

    OR, Three consecutive semesters enrolled part-time (at least 6 graduate credits per semester);

    OR, 24 graduate credits at UK, exclusive of short courses, taken within three consecutive academic (or calendar) years. (No more than 9 may be earned in summer sessions.)

    Full-time Semester ____________ Credits____________
    ____________ ________
    ____________ ________

___ C. Minimum total of 36 graded, graduate credits.

VII. ORAL QUALIFYING EXAM  (Normally scheduled in fifth semester. Intent to schedule should be filed within first six weeks of semester. GPA must be 3.0 or higher; no outstanding I or "missing" grades. Request to schedule the qualifying exam must be submitted a minimum of 14 days in advance.) Content of the exam may be related to research. "Proposal" necessary.

Date Proposal Sent to Committee ____________________

Date Requested ____________________

VIII. DEPARTMENTAL SEMINAR  (Usually presented in last semester of residence.)

Date ____________________
IX. APPLICATION FOR DEGREE (Submit via myUK by about Feb. 10, June 20, Sept. 20, for May, August, December degree.)

Date filed ______________________

X. FINAL CHECK OF GRADES

Check all grades, using myUK or transcript, to ensure that no I or S grades are present.

Date ________

XI. FINAL DOCTORAL EXAMINATION (Dissertation Defense.)

A. Notification of Intent to Schedule Final Doctoral Examination (8 weeks minimum in advance). Outside Examiner appointed. After this, may begin trying to schedule final oral exam.

Date Notification Filed __________

B. Dissertation sent to committee for initial approval (should be at least 1 month prior to examination date).

Date Sent to Committee __________

C. Dissertation, Request for Final Doctoral Examination, and signed Dissertation Approval form brought to Director of Graduate Studies (together) for signature (minimum 14 days in advance).

Date ________

D. Request for Final Doctoral Examination submitted to Graduate School for approval (minimum 14 days before scheduled final defense).

Date ____________________

Date of examination _____________________

E. Approved copy of Dissertation taken to Outside Examiner

XIII. SUBMISSION OF PHD DISSERTATION (Final, corrected, checked, accepted, and signed copy must be submitted to the Graduate School within 60 days of date of final examination.)

Date Dissertation Submitted and Accepted ______________________

XIV. DEPARTMENTAL CHECK-OUT (Complete Final Separation form.)

Date ________
APPENDIX D.

DIVISIONAL GUIDELINES FOR CUMULATIVE EXAMS -- FALL 2003

Analytical Division Cumulative Exams

Preparation for Analytical Cumulative Exams can largely be accomplished by a thorough review and deep understanding of the material in any modern instrumental analysis text and any sophomore-level quantitative analysis text. "Instrumental" texts suggested are by Braun; Christian and O'Reilly; Skoog, Holler, and Nieman; or Willard, Merritt, Dean, and Settle. Among suitable quantitative analysis texts are those by Harris; and Skoog, West and Holler. Additional material from recent issues of Analytical Chemistry, particularly the A-page articles, and Departmental and ACS seminars is appropriate Cumulative Exam material. Material from analytical chemistry courses – 524, 526, 620, 623, 625, and CHE 626 – would of course be very useful, but the exams do not simply re-test course material. Folders of past years' cumulative exams are available in the main department office for checkout by students. These are quite valuable for review and practice.

An Analytical Cumulative Exam will frequently focus on a specific method or topic. Thus, in the course of a year, you might expect to see one or two Cumulative Exams on analytical spectroscopy, electrochemistry, kinetic methods, electronics/automation, separations, equilibrium, statistics, surface-analysis, and analytical "problem solving". You might be given a short article from the literature and asked to explain/critique some of the points and conclusions. Some exams will be more directly knowledge-based, while others will require more thought and application of your entire chemistry background to selected problems and issues.

Biological Division Cumulative Exams

The student who takes Biological Chemistry Cumulative Examinations should prepare for these examinations by:

I. Mastering the material in a typical undergraduate/graduate biochemistry text such as the major texts authored by Garrett and Grisham, Voet and Voet, Lehninger, Rawn or Stryer. A thorough understanding of the following subjects would be of great use in passing these examinations:

A. Protein Chemistry.
   1. Structures and chemistry of the amino acids.
   2. Methods for the determination of the primary, secondary, tertiary and quaternary protein structure.

B. The Chemistry of Enzymes and Coenzymes.
   2. Steady-state enzyme kinetics.
   3. The chemical mechanism for the following types of enzyme-catalyzed reactions: acyl transferases (e.g., proteases), glycosyl transferases, and enzyme-catalyzed proton transfer reactions.
4. Mechanism of enzyme inactivation: active-site directed and suicide enzyme inactivators.

C. The Chemistry of Cellular Metabolism. The student should be aware of the prominent features of the chemistry which occurs in the following metabolic pathways: glycolysis, Krebs cycle, fatty acid biosynthesis and degradation, aromatic amino acid biosynthesis, isoprene biosynthesis, biosynthesis and degradations of purine and pyrimidine nucleosides and nucleotides.

D. The Chemistry of Carbohydrates.

E. Lipid Chemistry.

F. Membrane Structure and Function.

G. Nucleosides, Nucleotides and Nucleic Acids.

1. Structure and chemistry of the 4 mononucleotides.
2. Structure and physical properties of RNA and DNA.
3. Chemical syntheses of DNA and RNA.
4. Sequence determinations of DNA and RNA.

H. Molecular Biology.

1. Mechanism for information transfer in the cell (DNA, RNA, Protein). Pathways for DNA replication, DNA transcription and protein biosynthesis.

II. Attending all ACS and departmental seminars and being able to succinctly describe the salient points that are relevant to any biological chemistry topic.

III. Studying the review articles related to biological chemistry in Science, Nature, and Accounts of Chemical Research.

IV. Being current on exciting new developments, trends, etc. in biological chemistry such as those that are discussed periodically in Chemical and Engineering News or "Research News" in Science.

Inorganic Division Cumulative Exams

Inorganic Cumulative Examinations will test the overall basic and specialized knowledge in inorganic chemistry and the awareness of contemporary inorganic research. Basic knowledge can be demonstrated by a sound background in general chemistry and the topics covered in the inorganic core courses, CHE 510 and CHE 514. Specialized knowledge in inorganic chemistry may be gathered from textbooks (e.g., F. A. Cotton and G. Wilkinson: Advanced Inorganic Chemistry; or N. N. Greenwood and A. Earnshaw: Chemistry of the Elements); from periodicals (J. Amer. Chem. Soc., Inorg. Chem., Organometallics); as well as from divisional and departmental seminars.

Organic Division Cumulative Exams

The Organic Cumulative Examinations will test both an understanding of general principles of organic chemistry and their logical application to specific problems drawn from the chemical literature. The examinations will cover such topics as stereochemistry, structure elucidation (from NMR, IR, UV, and mass spectroscopic information as well as from chemical information on mechanisms and reactive intermediates), physical properties and behavior, functional group manipulations, and synthesis.
Students are urged to read the *Journal of the American Chemical Society*, *Journal of Organic Chemistry*, and *Organic Letters* (among others) as the best means of preparing for these examinations.

**Physical Division Cumulative Exams**

The level of understanding generally expected on Physical Chemistry Cumulative Exams is that of a rigorous textbook such as I. N. Levine's *Physical Chemistry*, 3rd edition, or P. W. Atkins' *Physical Chemistry*, 4th edition. Students may be required to demonstrate understanding also of physical chemistry divisional seminars, and departmental and ACS seminars dealing with physical chemistry. Articles dealing with physical chemistry in *Chemical & Engineering News* may also be subjects of questions.
APPENDIX E.

Classification of CHE Courses (500-, 600-, and 700-level)

<table>
<thead>
<tr>
<th>Course</th>
<th>Assigned Division</th>
</tr>
</thead>
<tbody>
<tr>
<td>510 Advanced Inorganic Chemistry</td>
<td>Inorganic</td>
</tr>
<tr>
<td>514 Descriptive Inorganic Chemistry</td>
<td>Inorganic</td>
</tr>
<tr>
<td><strong>524 Chemical Instrumentation</strong></td>
<td>Analytical</td>
</tr>
<tr>
<td>526 Chemical Separations</td>
<td>Analytical</td>
</tr>
<tr>
<td>532 Spectrometric ID of Organic Compounds</td>
<td>Excluded</td>
</tr>
<tr>
<td>535 Synthetic Organic Chemistry</td>
<td>Organic</td>
</tr>
<tr>
<td>538 Principles of Organic Chemistry</td>
<td>Organic</td>
</tr>
<tr>
<td>547 Principles of Physical Chemistry I</td>
<td>Physical</td>
</tr>
<tr>
<td>548 Principles of Physical Chemistry II</td>
<td>Physical</td>
</tr>
<tr>
<td>550 Biological Chemistry I</td>
<td>Biological</td>
</tr>
<tr>
<td>552 Biological Chemistry II</td>
<td>Biological</td>
</tr>
<tr>
<td>555 Homonuclear NMR</td>
<td>Cross-disciplinary</td>
</tr>
<tr>
<td>558 Hormone Receptors and Cell Signals</td>
<td>Biological</td>
</tr>
<tr>
<td>559 Intermolecular Forces: From Molecules to Materials</td>
<td>Biological</td>
</tr>
<tr>
<td>565 Environmental Chemistry</td>
<td>Cross-disciplinary</td>
</tr>
<tr>
<td>612 Inorganic Chemistry of the Non-Metals</td>
<td>Inorganic</td>
</tr>
<tr>
<td>614 Organotransition Metal Chemistry</td>
<td>Inorganic</td>
</tr>
<tr>
<td>616 Nuclear Chemistry</td>
<td>Radiochemistry</td>
</tr>
<tr>
<td>620 Electrochemical Methods of Analysis</td>
<td>Analytical</td>
</tr>
<tr>
<td>623 Chemical Equilibrium and Data Analysis</td>
<td>Analytical</td>
</tr>
<tr>
<td>625 Optical Methods of Analysis</td>
<td>Analytical</td>
</tr>
<tr>
<td>626 Advanced Analytical Chemistry</td>
<td>Analytical</td>
</tr>
<tr>
<td>633 Physical Organic Chemistry</td>
<td>Organic</td>
</tr>
<tr>
<td>640 Chemical Crystallography</td>
<td>Physical</td>
</tr>
<tr>
<td>643 Spectroscopy and Photophysics</td>
<td>Physical</td>
</tr>
<tr>
<td>646 Chemical Kinetics</td>
<td>Physical</td>
</tr>
<tr>
<td>666 Proteomics and Mass Spectrometry</td>
<td>Cross-disciplinary</td>
</tr>
<tr>
<td>668 Symmetry and Chemical Applications</td>
<td>Cross-disciplinary</td>
</tr>
<tr>
<td>710 Topics in Inorganic Chemistry</td>
<td>Inorganic</td>
</tr>
<tr>
<td>736 Topics in Organic Chemistry</td>
<td>Organic</td>
</tr>
<tr>
<td>746 Topics in Physical Chemistry</td>
<td>Physical</td>
</tr>
</tbody>
</table>

Excluded courses cannot be used to satisfy the Out-of-Area requirement